



Neuroscience Education Institute

CAN YOU SAY HEY? THE MANY FACES OF SEROTONIN 5HT2A

Thomas L. Schwartz, MD

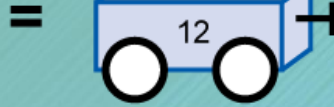
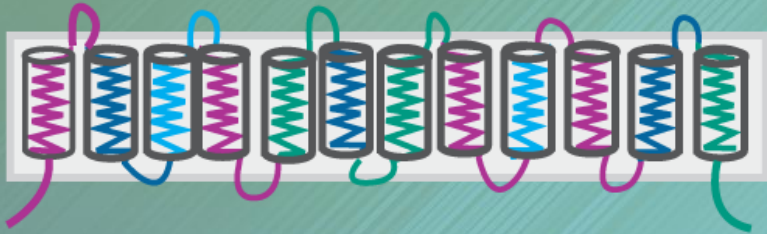
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Presented at 2023 NEI Synapse

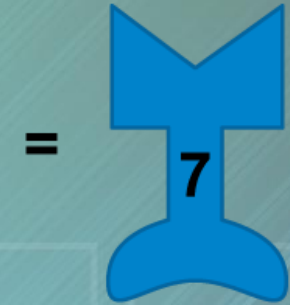
Learning Objectives

- Recognize the neuroscience behind the serotonin 5HT_{2A} receptor in order to better understand psychiatric illnesses
- Utilize knowledge of the serotonin 5HT_{2A} receptor to help reduce specific psychiatric symptoms or side effects via on- and off-label prescribing

The 5HT2A Receptor: A Good Target



Twelve-transmembrane
region transporter
~ 30% of psychotropic drugs



5HT2A

Seven-transmembrane region
G-protein linked
~ 30% of psychotropic drugs



Enzyme
~ 10% of psychotropic drugs

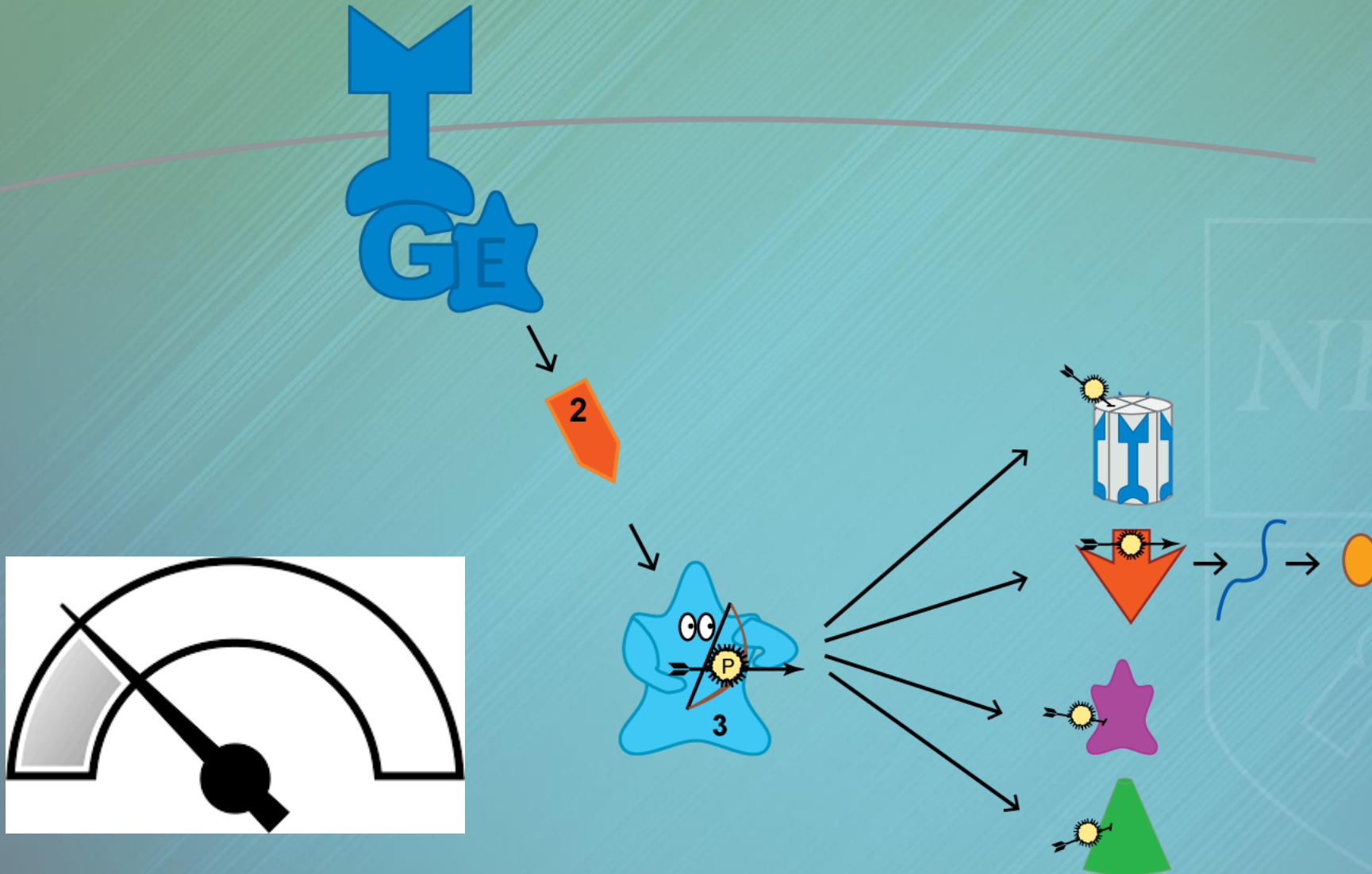


Four-transmembrane region
ligand-gated ion channel
~ 20% of psychotropic drugs



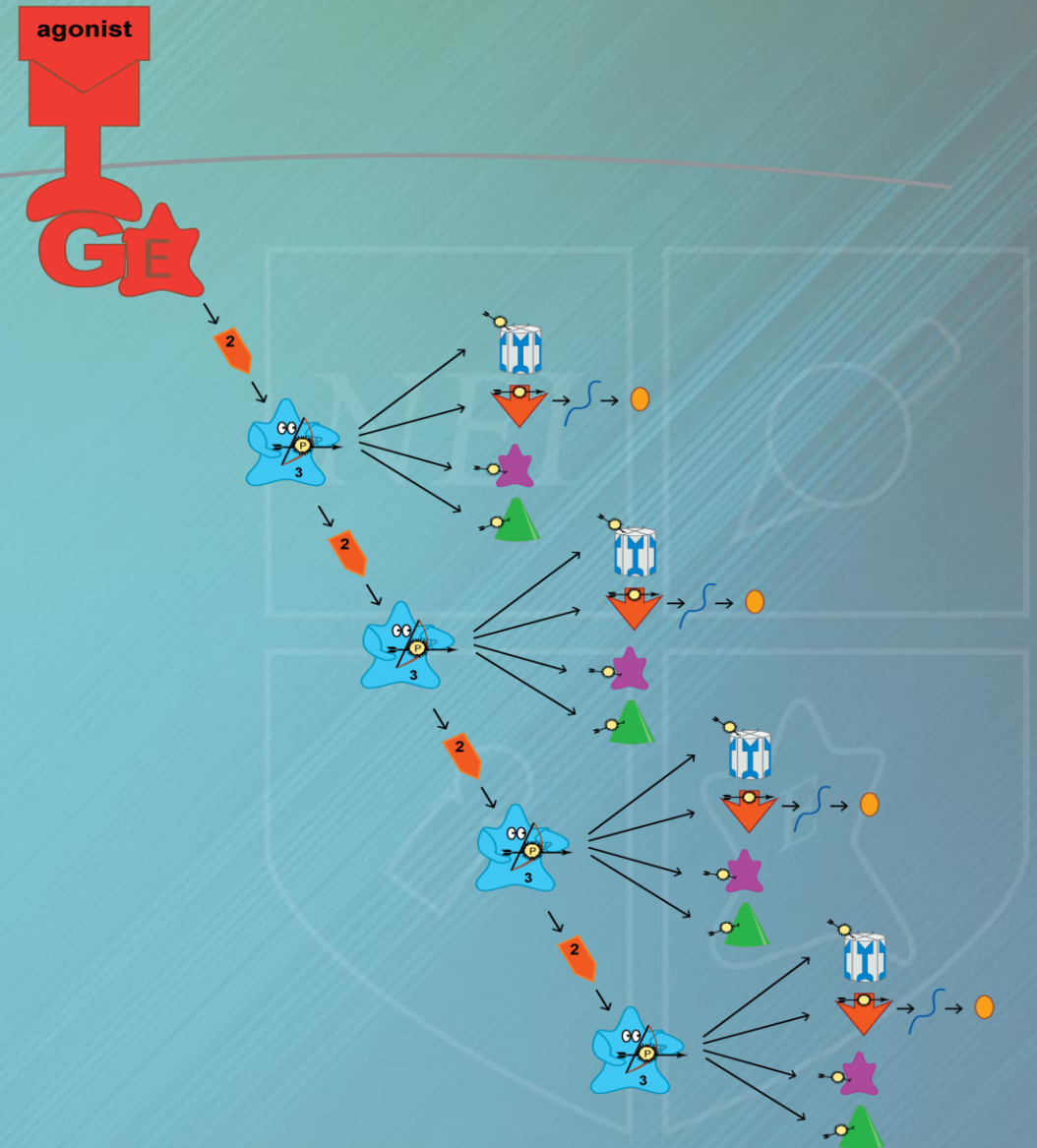
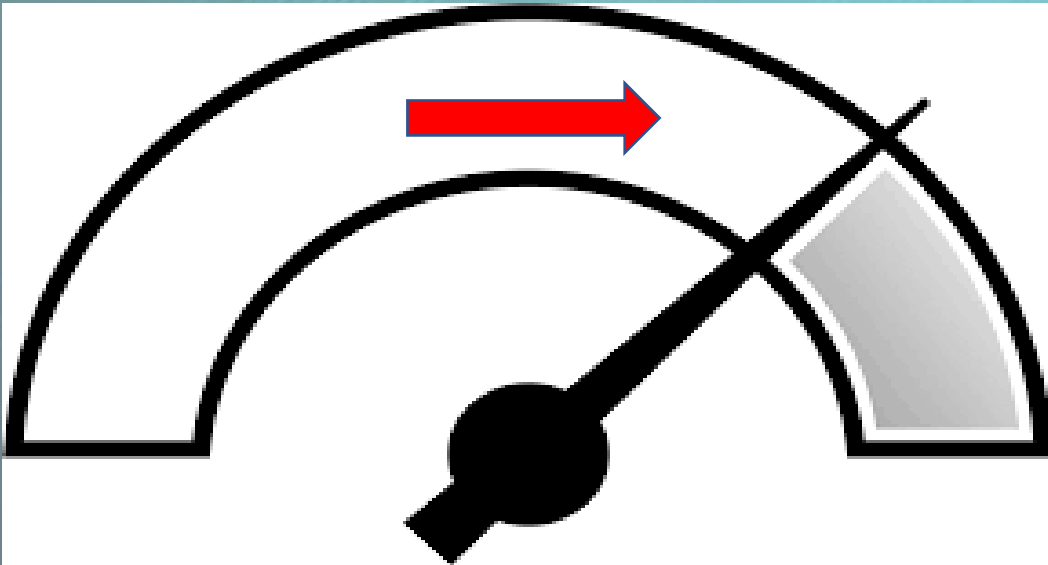
Six-transmembrane region
voltage-gated ion channel
~ 10% of psychotropic drugs

5HT_{2A} Receptors Are G-Protein Linked



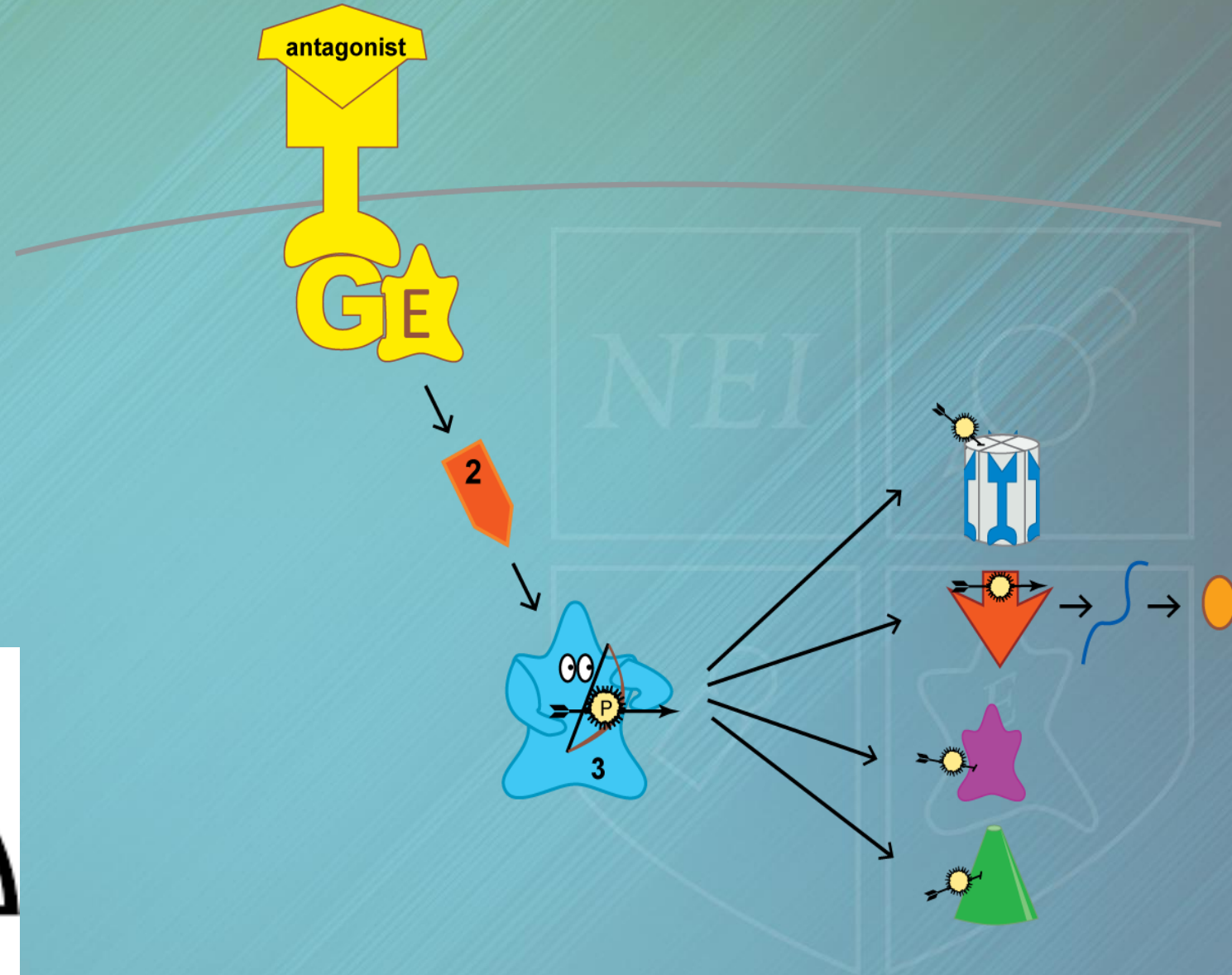
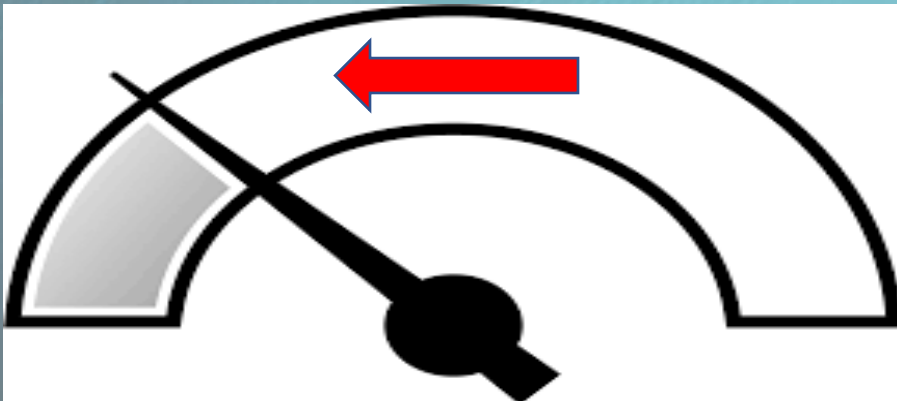
5HT_{2A} Agonism

- Baseline constitutive activity is increased
- 5HT when bound to its 2A receptor tends to be stimulating in nature
- Neurocircuitry firing tends to increase
- Consider using LSD to trip



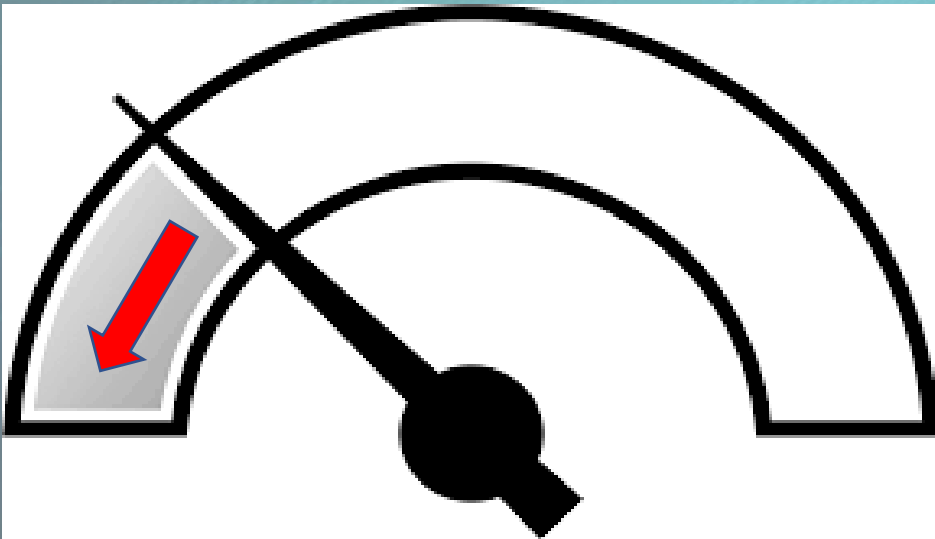
5HT2A Antagonism

- Antagonizing lowers activity at least back to constitute baseline
- Consider how SSRIs may lead to activating side effects if too much serotonin binds to 5HT2A receptors
- By blocking 5HT2A with another drug such as a sedating antidepressant, may return serotonin circuitry activity to normal and alleviate the side effect

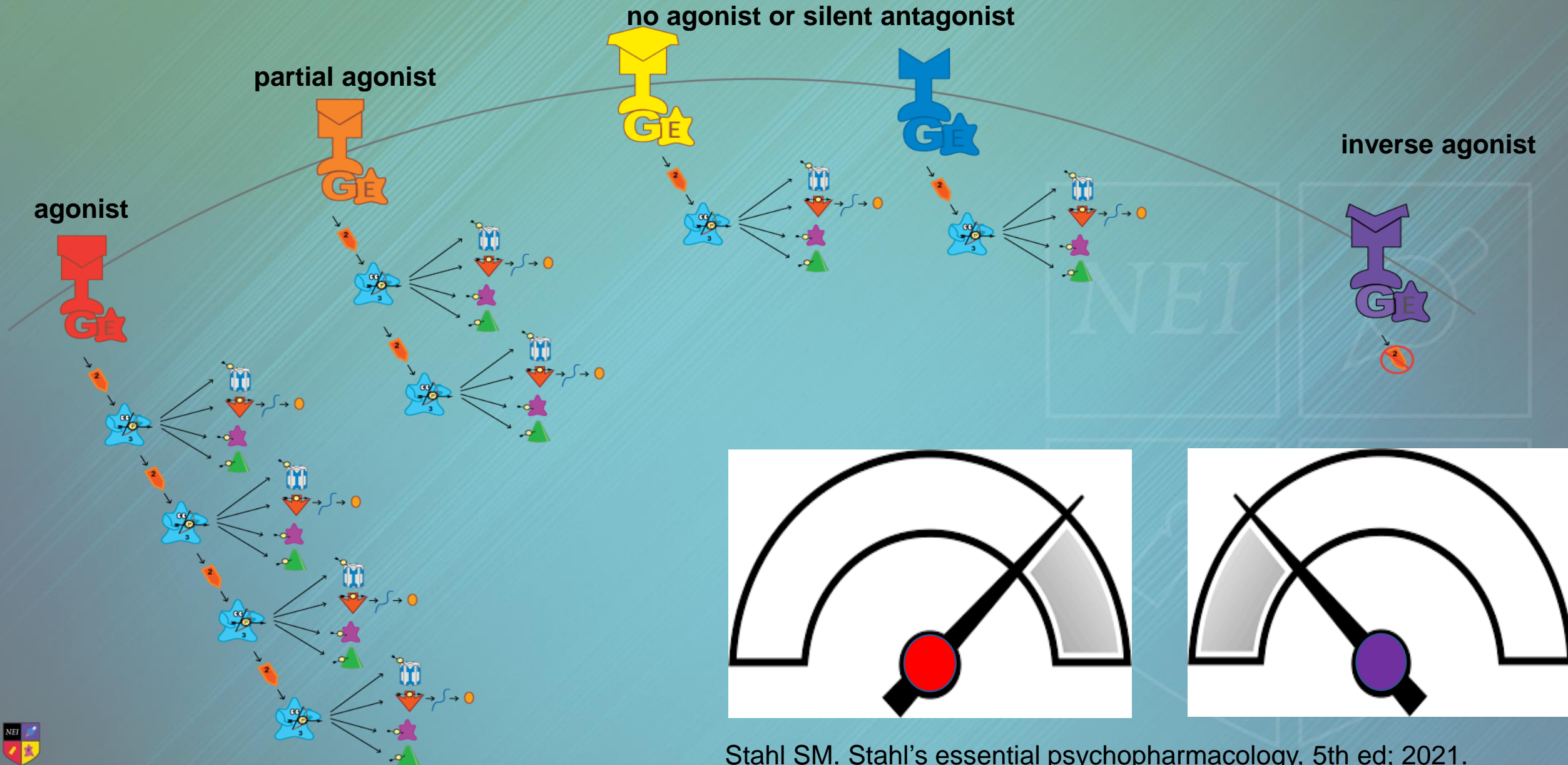


Some 5HT_{2A} Antagonists Are Inverse

**Inverse Agonist: Beyond Antagonism;
Even the Constitutive Activity Is
Blocked**



Putting the Agonism-Antagonism Spectrum Together

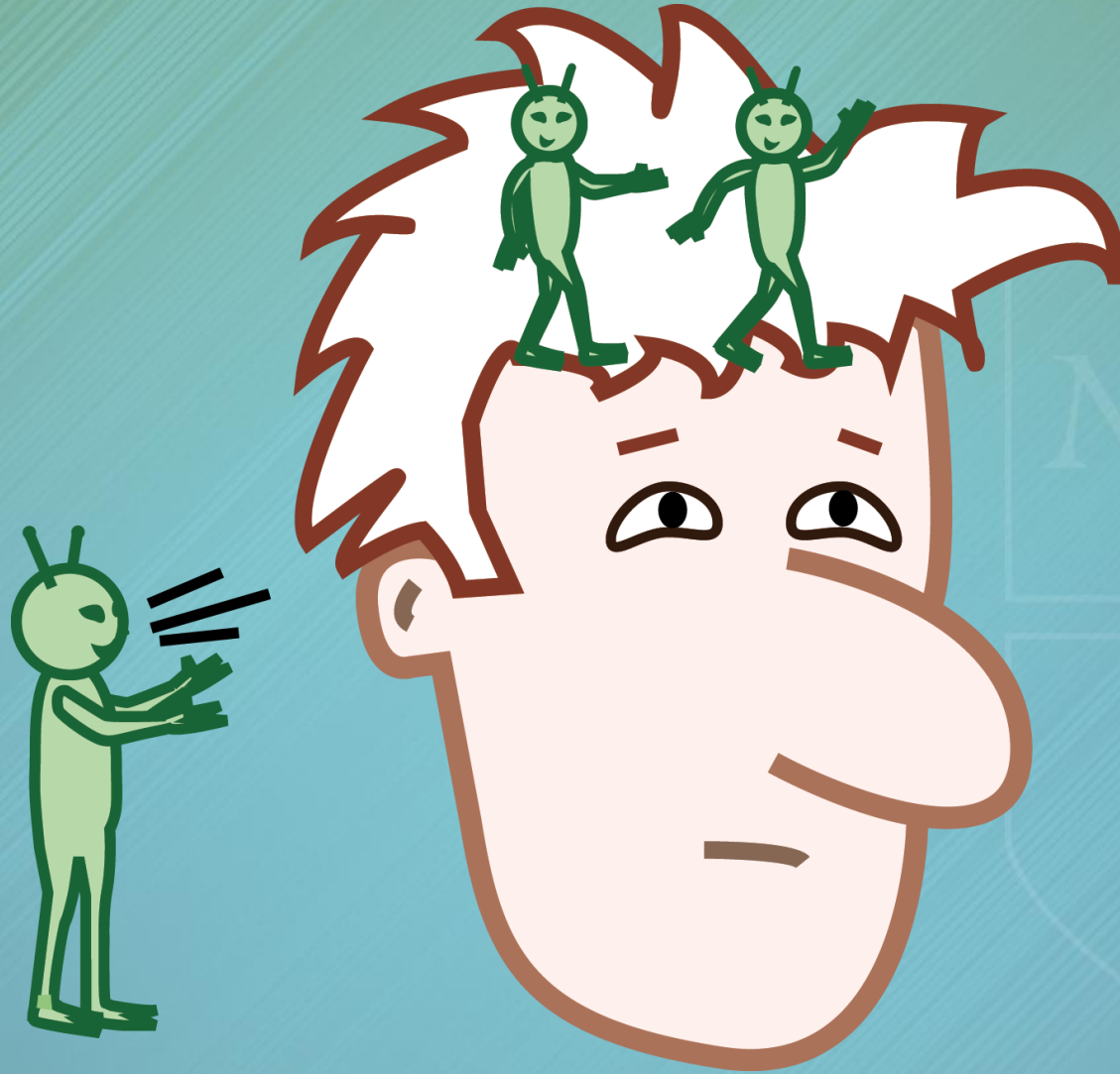


Possible Clinical Benefits From 5HT2A Manipulation

| | | | |
|-------------------------|-------|-----------------------------------|--|
| Serotonin and Psychosis | 5HT2A | Antagonist or Inverse Agonist Use | <ul style="list-style-type: none">• Decrease Parkinson's psychosis• Decrease dementia-related psychosis• Lower drug-induced EPS• ? Reduction of negative symptoms in schizophrenia• ? Mood stabilizing in bipolar disorder• ? Antidepressant in bipolar disorder• ? Improve insomnia• ? Improve anxiety and agitation |
| | | Agonist | <ul style="list-style-type: none">• Psychotomimetic actions• Experimental for TRD• Psychotherapy augmentation |



Psychosis and 5HT_{2A}



Let's Take a Walk on the Serotonin Pathway

Dopamine Theory

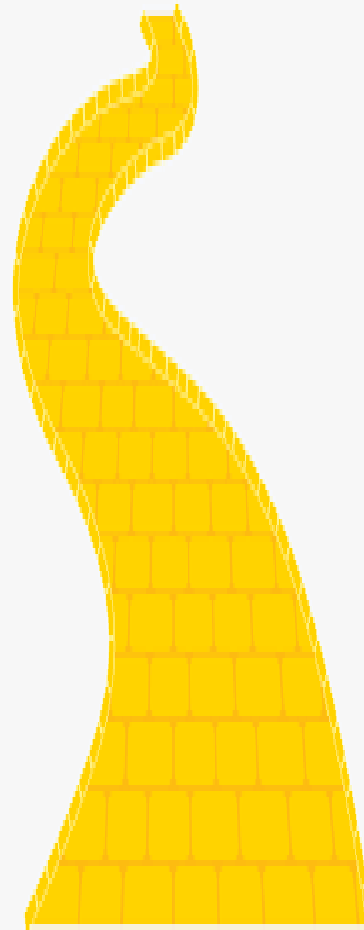
Hyperactive dopamine at D2 receptors in the mesolimbic pathway

Glutamate Theory

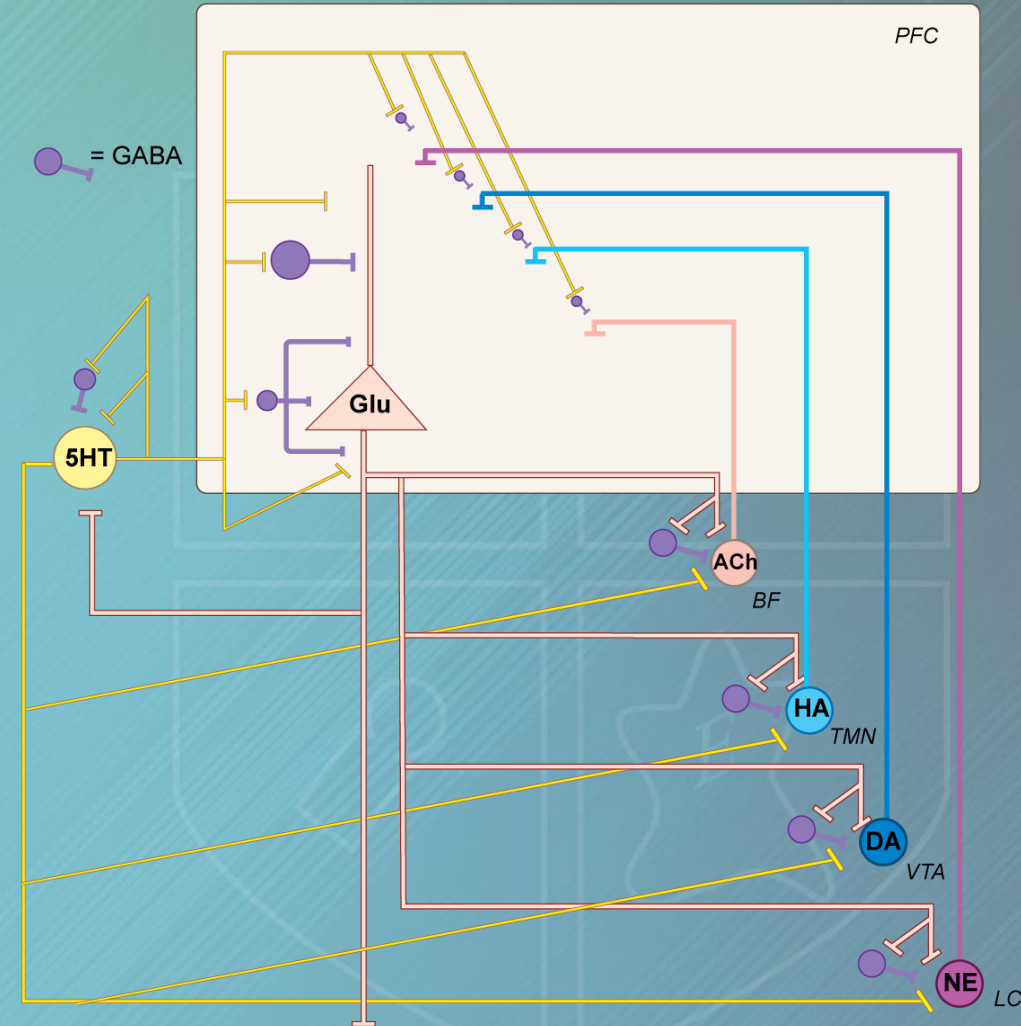
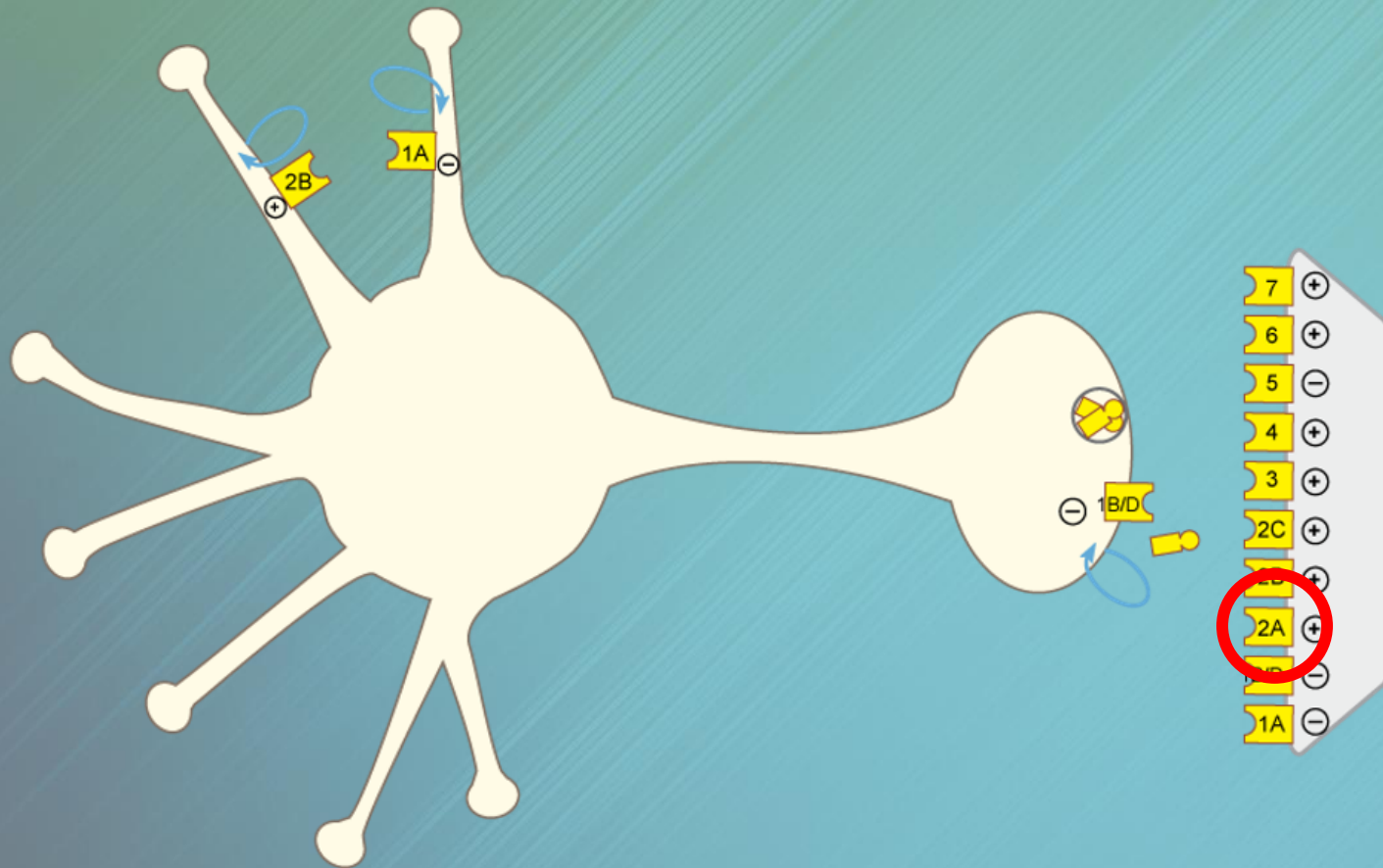
NMDA receptor hypofunction

Serotonin Theory

5HT2A receptor hyperfunction in the cortex

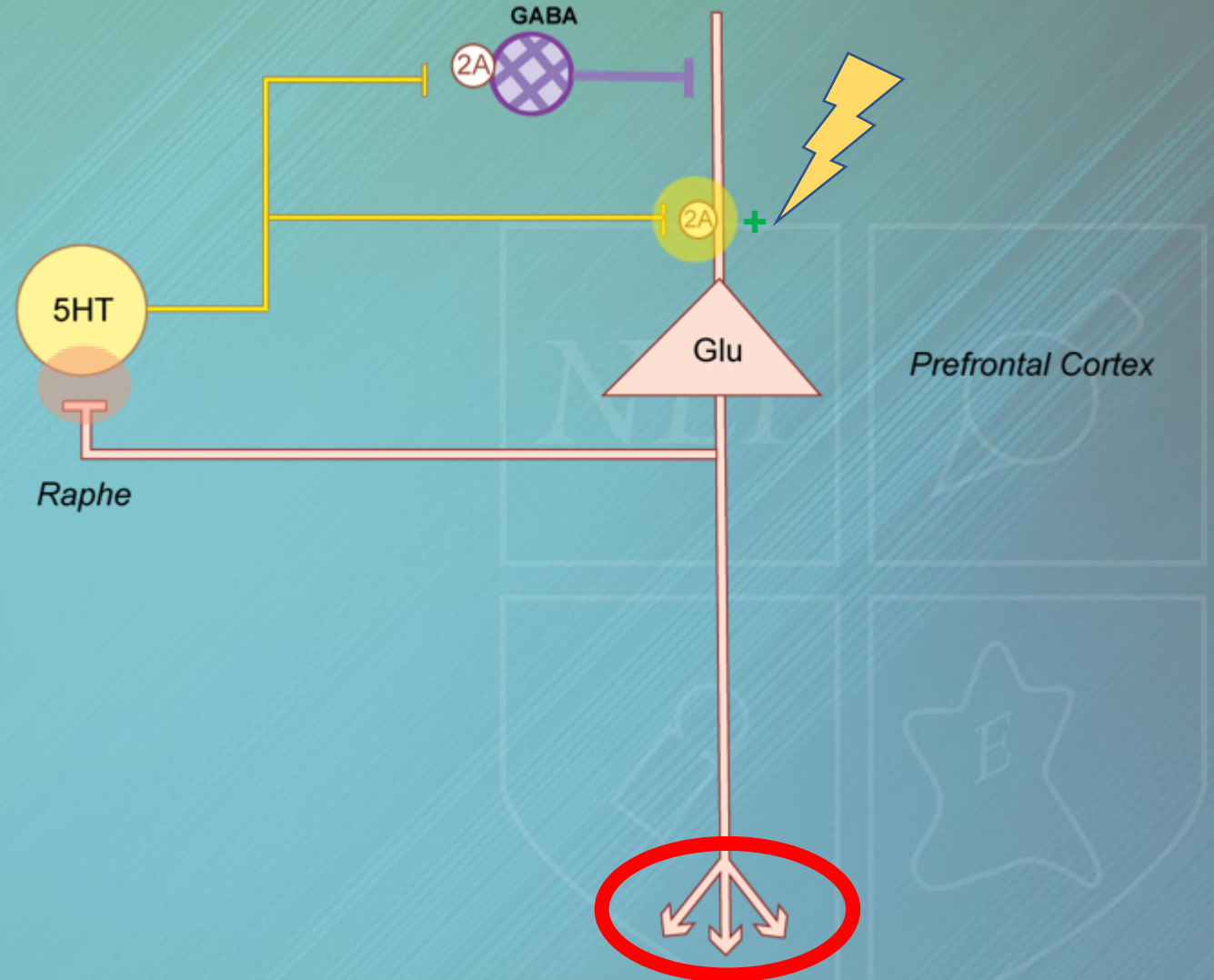


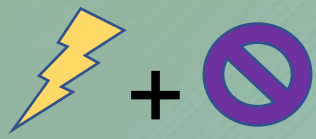
There Are Several Types of 5HT Receptors That Regulate Many Brain Circuits



Serotonin and Glutamate ⚡

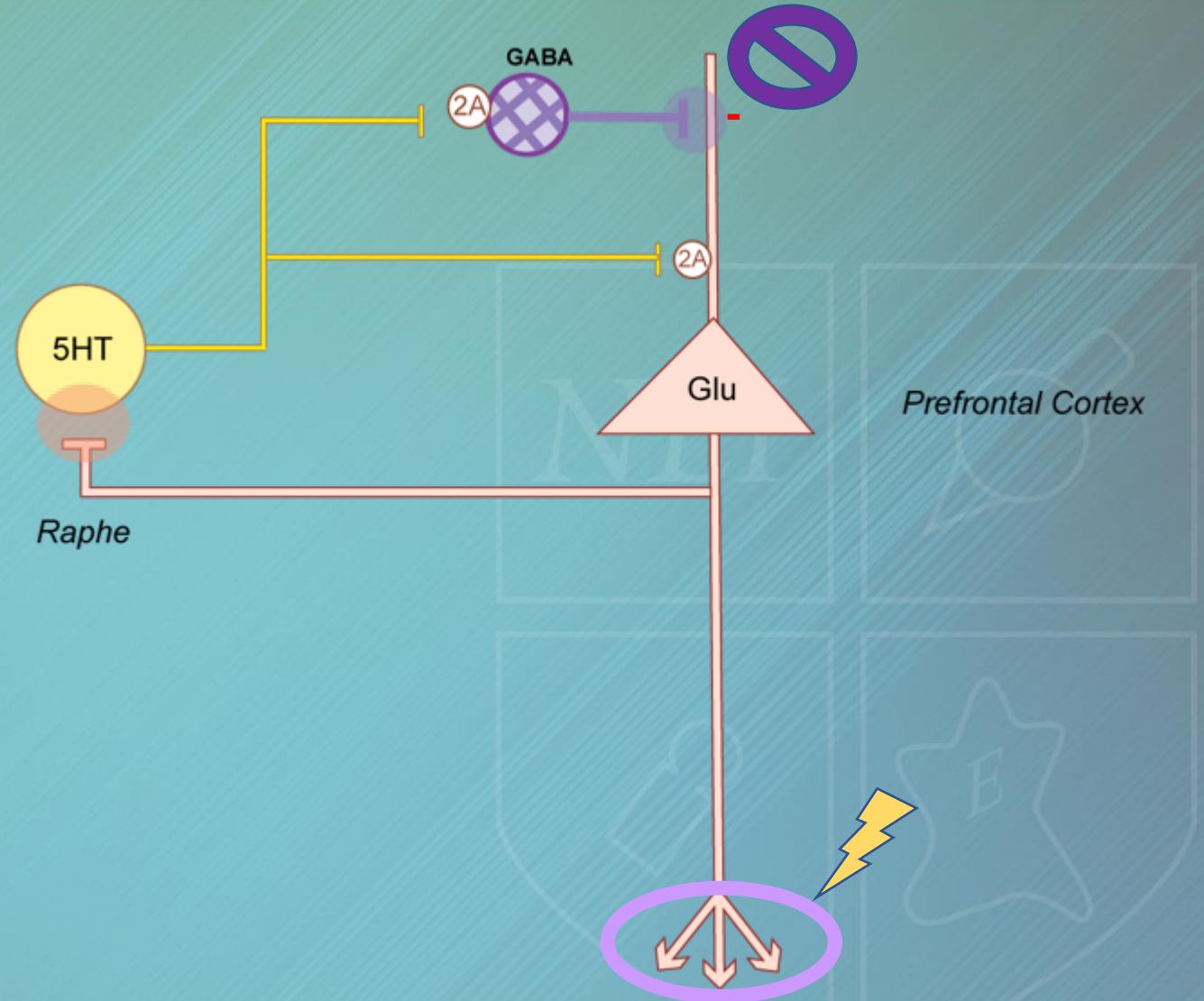
- Glutamatergic activity can change based on the location of 5HT_{2A}
- 5HT_{2A} are always excitatory if situated on glutamate neurons and *increase* glutamate release





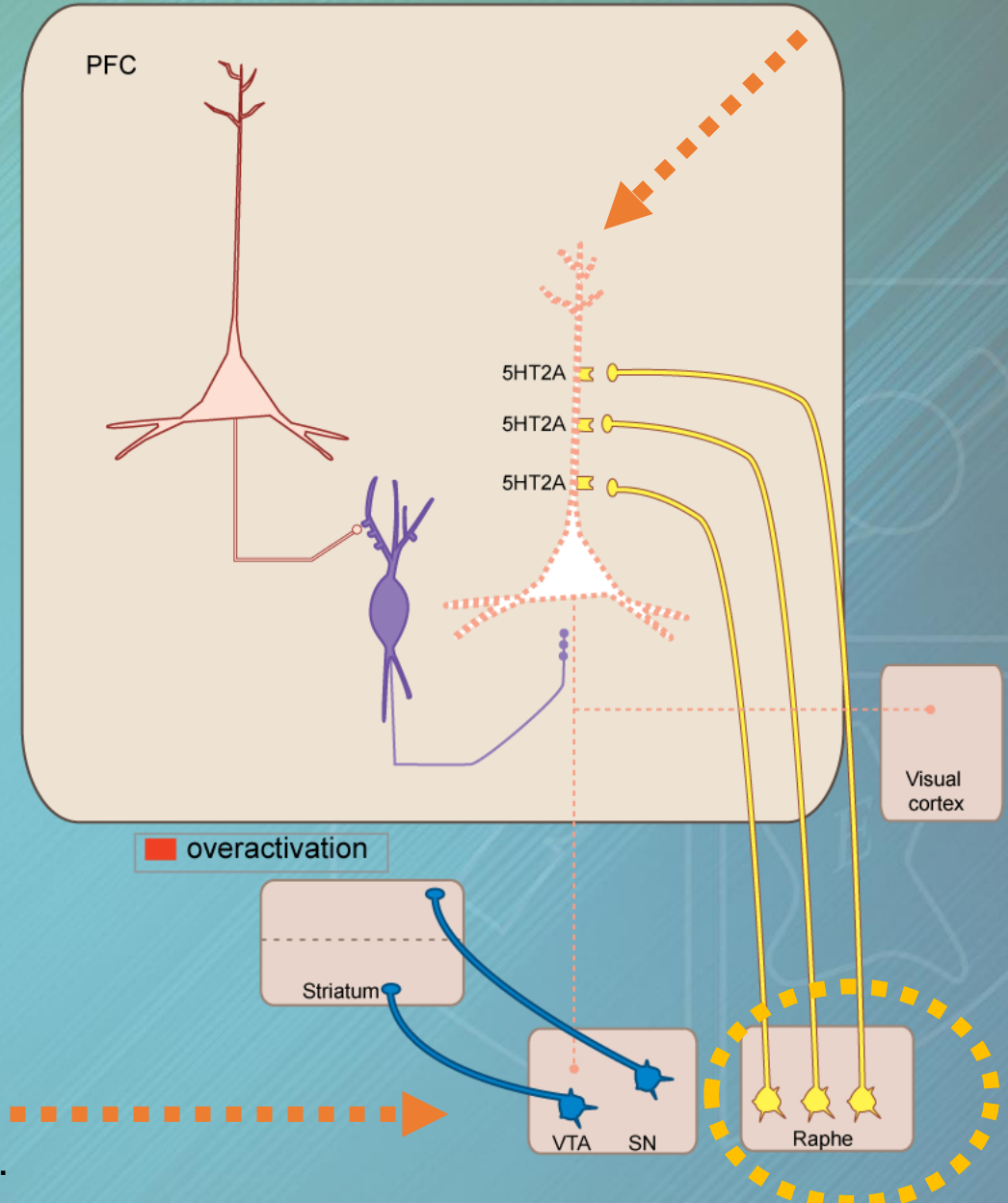
Serotonin and Glutamate

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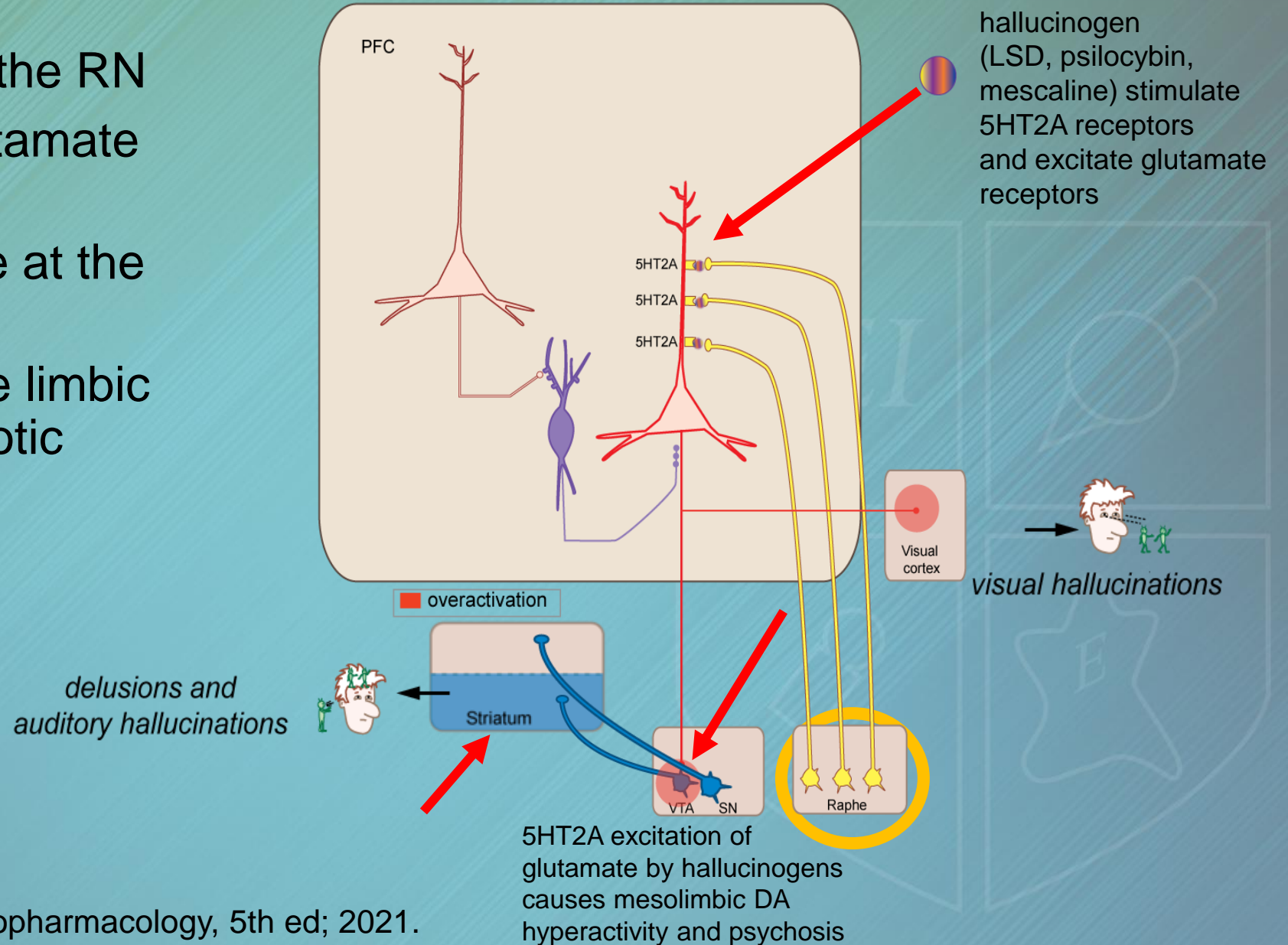
Serotonin Psychosis Hypothesis

- At baseline, 5HT_{2A} are not activated
- GABA transmission is tonic baseline
- Glutamatergic neurons are not very active
- DA output from the VTA is tonic baseline
- No psychosis occurs

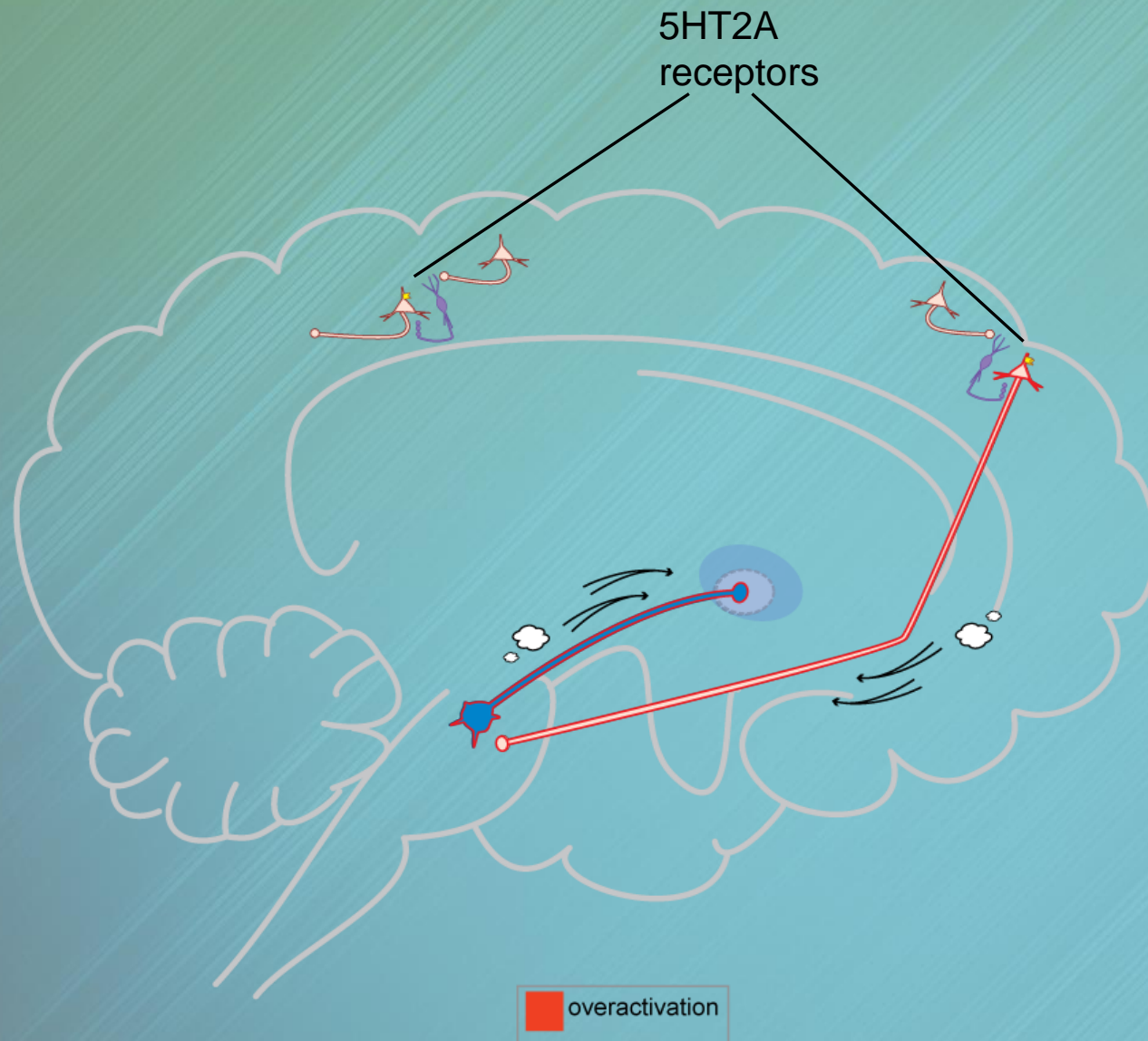


Serotonin, Glutamate, and Dopamine

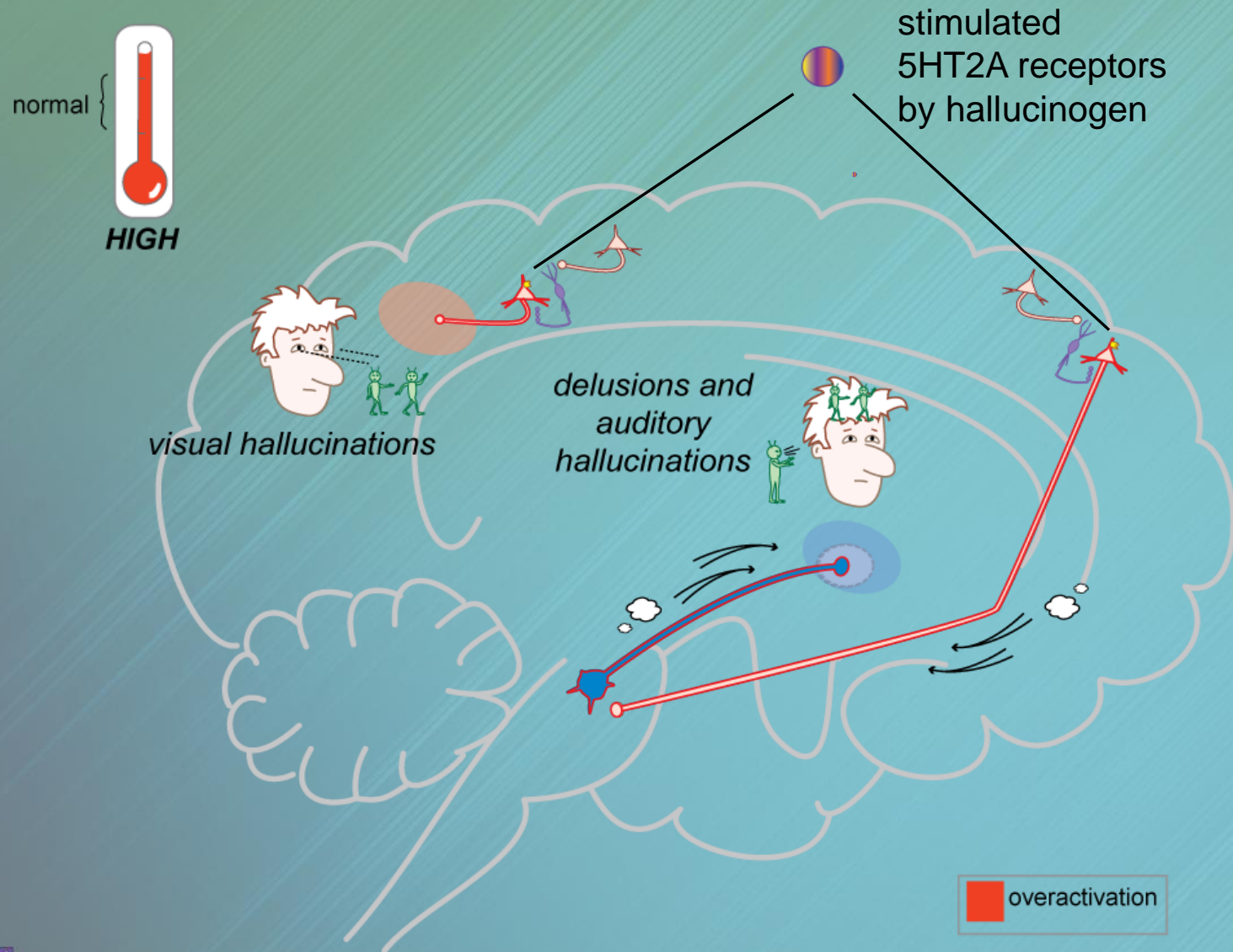
- 5HT activity increases in the RN
- Stimulates 5HT2A on glutamate neurons next
- Releases more glutamate at the VTA
- Excites DA neurons in the limbic pathways creating psychotic symptoms



Balanced Serotonin and GABA



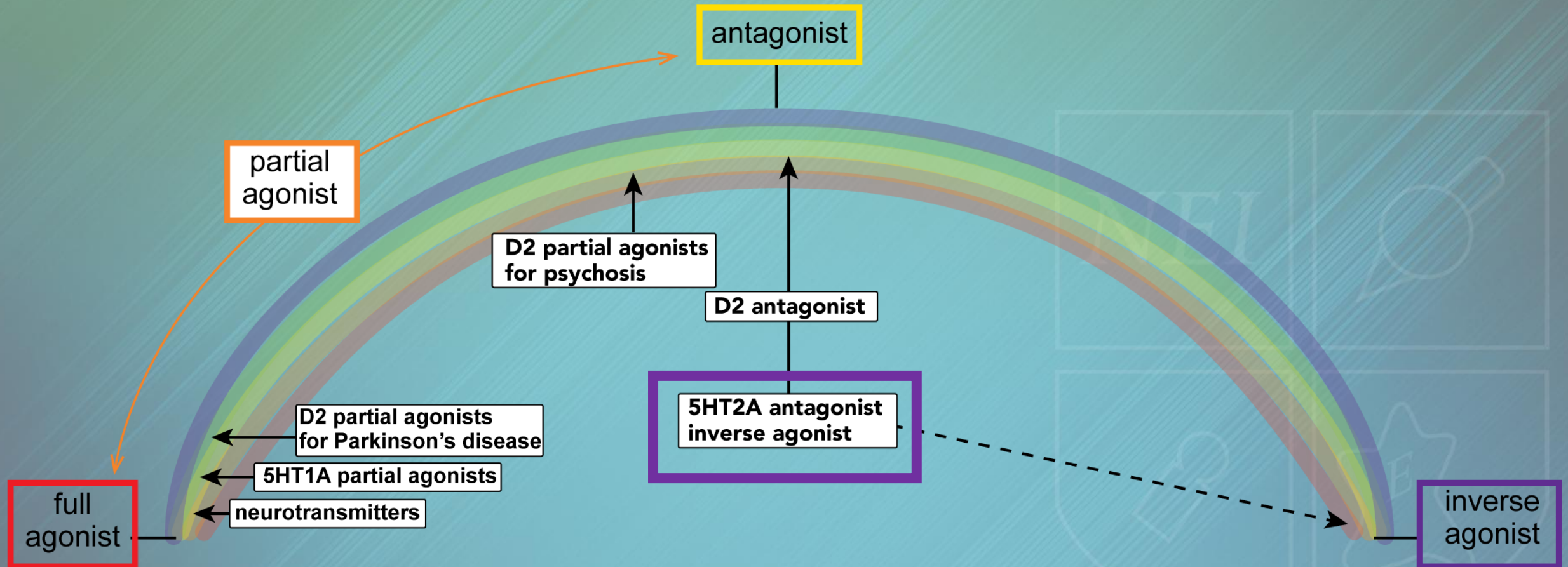
Excess Serotonin Leads to Glutamate/Dopamine Excess



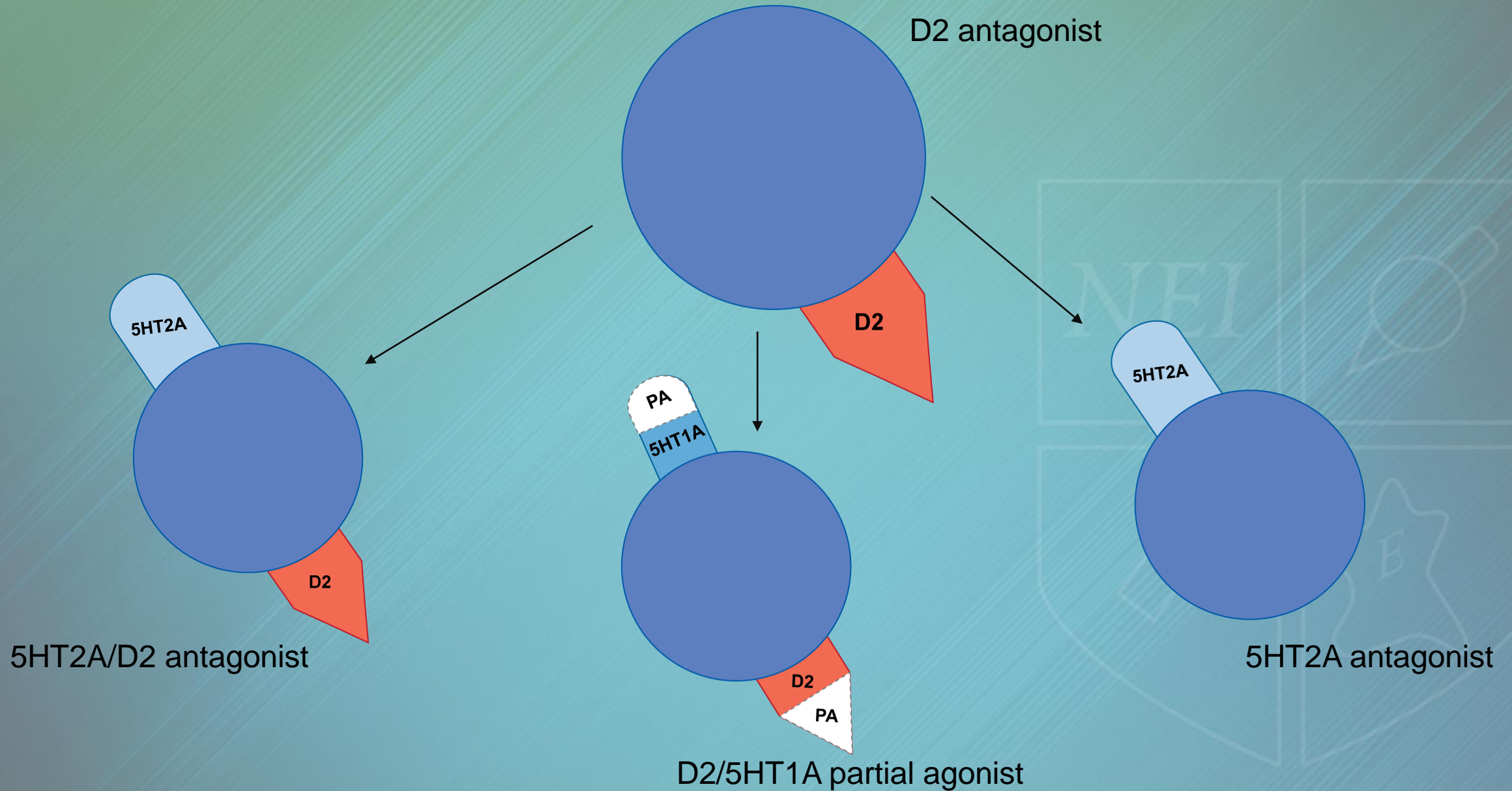
5HT2A Antagonism in Psychotic Disorder Treatment

- Lowers Psychosis

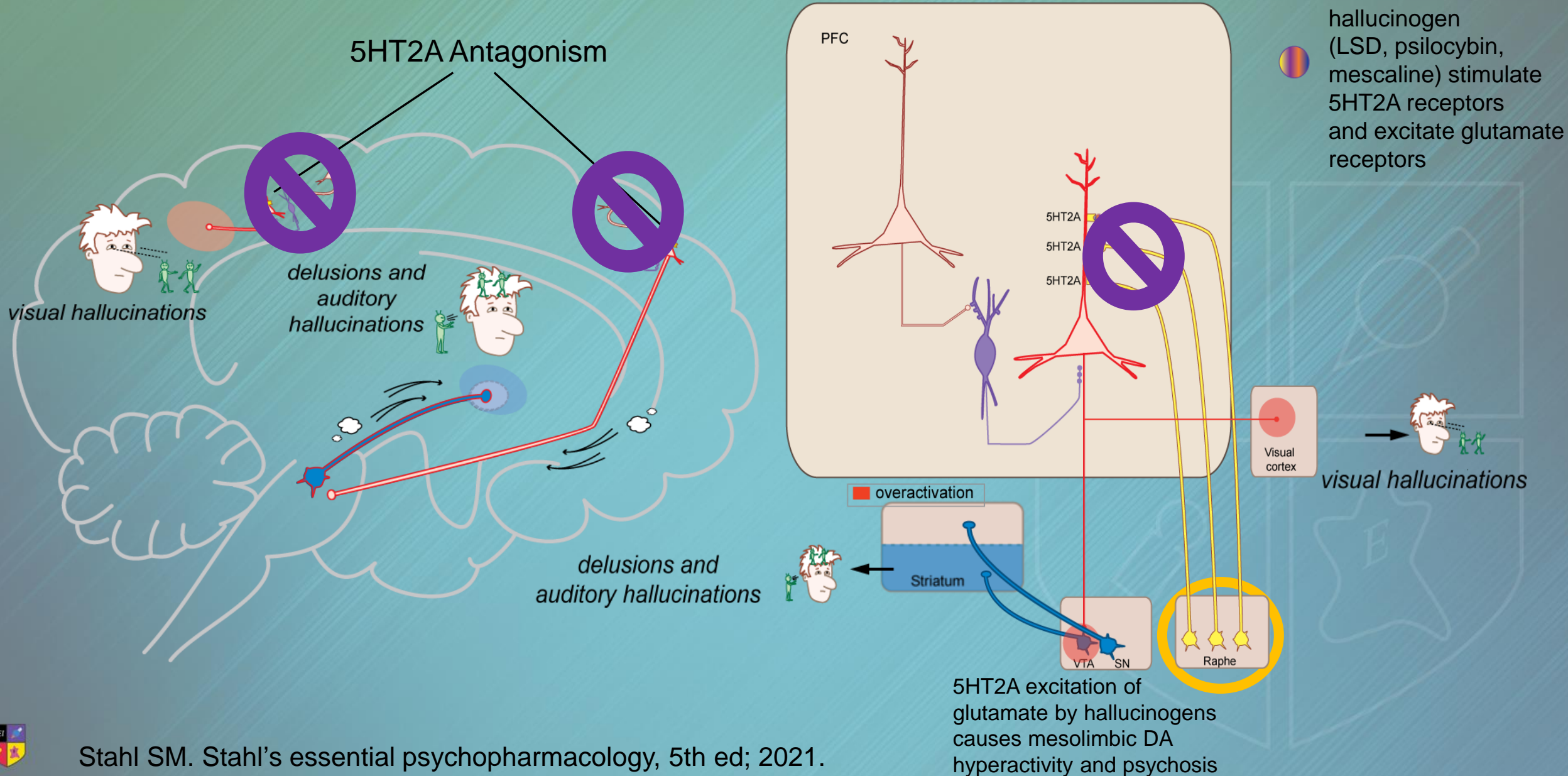
- Lowers EPS



All Atypical Antipsychotics Block 5HT2A



Serotonin, Glutamate, and Dopamine



Types of Psychosis

- **Schizophrenia**
- Combining 5HT_{2A} antagonists with D₂ antagonists
 - May improve positive symptoms
 - To a lesser degree negative ones
- Likely 5HT_{2A} affinity increases, D₂ antagonism may need to be less for treating positive symptoms
- **Parkinson's disease psychosis and dementia-related psychosis**
- 5HT_{2A} antagonism alone can be useful as monotherapy
- Possibly allowing D₂ antagonism and its side effects to be lessened or avoided

EPS and 5HT2A



5HT_{2A} Antagonism Helps Lower EPS?

- All atypicals have 5HT_{2A} antagonism
- Dual receptor antagonism lowers EPS compared to typical antipsychotics
 - Akathisia
 - Parkinsonism
 - Dystonia
- Off-label addition of sedating antidepressants might help as they antagonize 5HT_{2A}
 - Especially for akathisia



Praharaj SK et al. Ther Adv Psychopharmacol 2015;5(5):307-13.

Laoutidis ZG, Luckhaus C. Int J Neuropsychopharmacol 2014;17(5):823-32.

Stahl SM. Stahl's essential psychopharmacology, 5th ed; 2021.



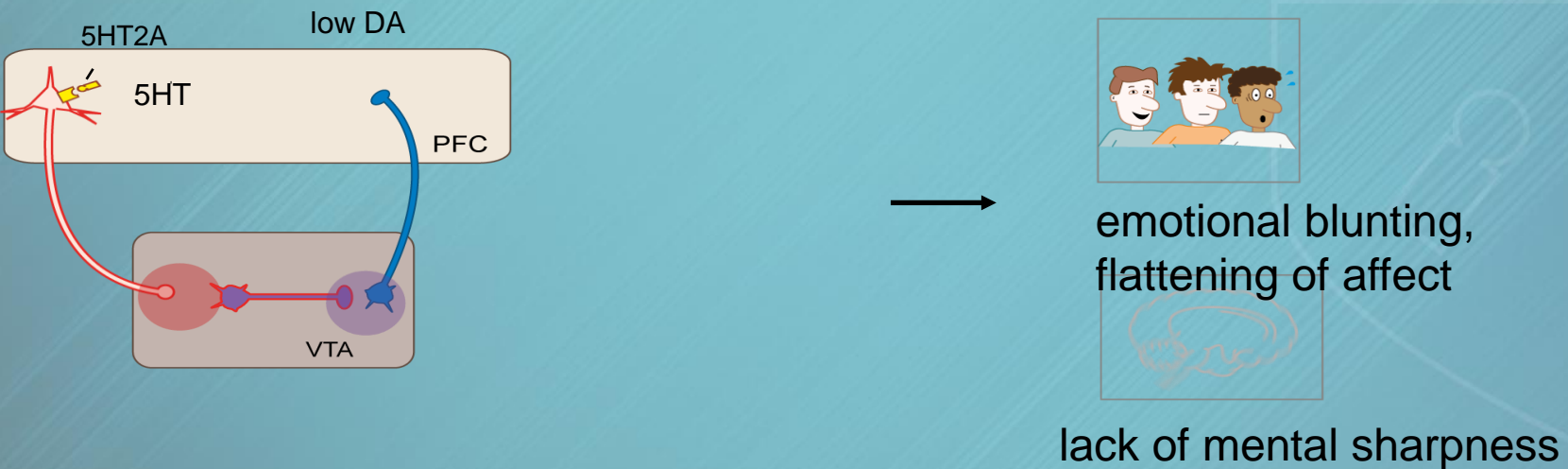
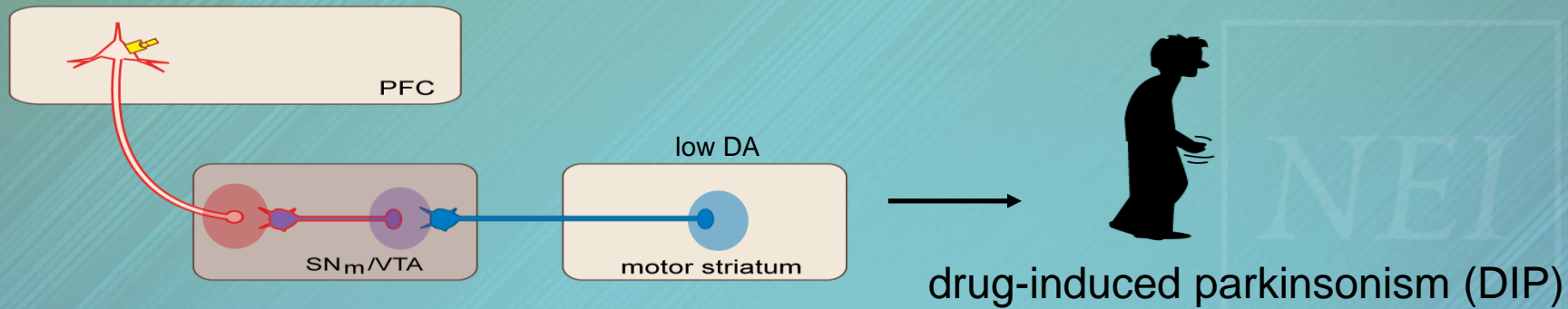
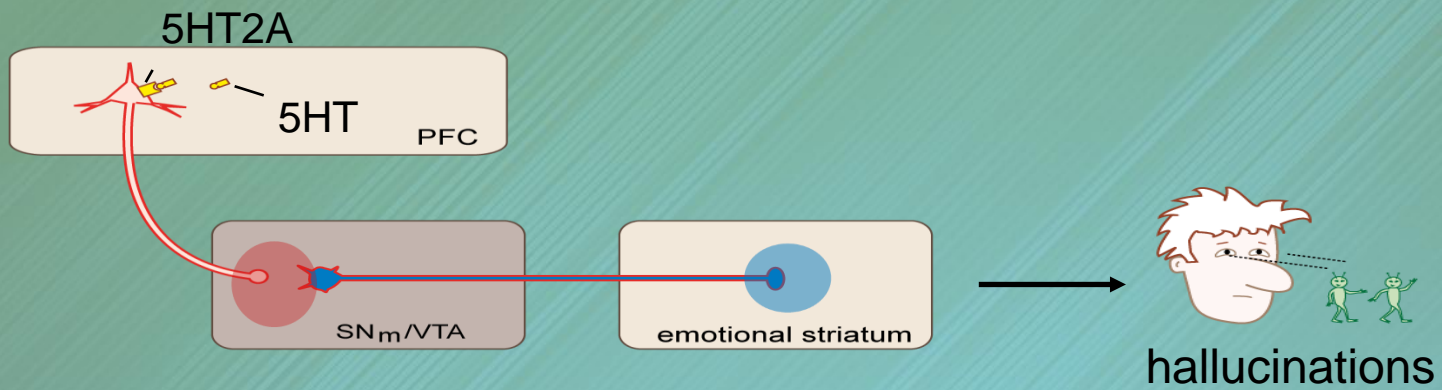
EPS Lowering Mechanism



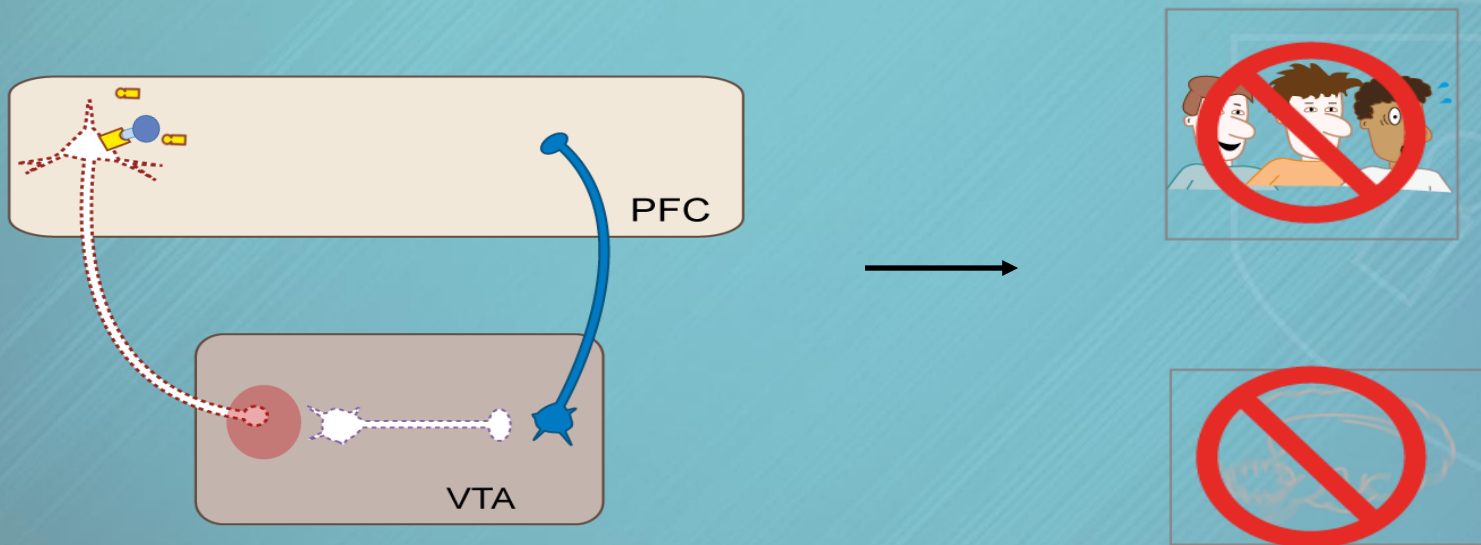
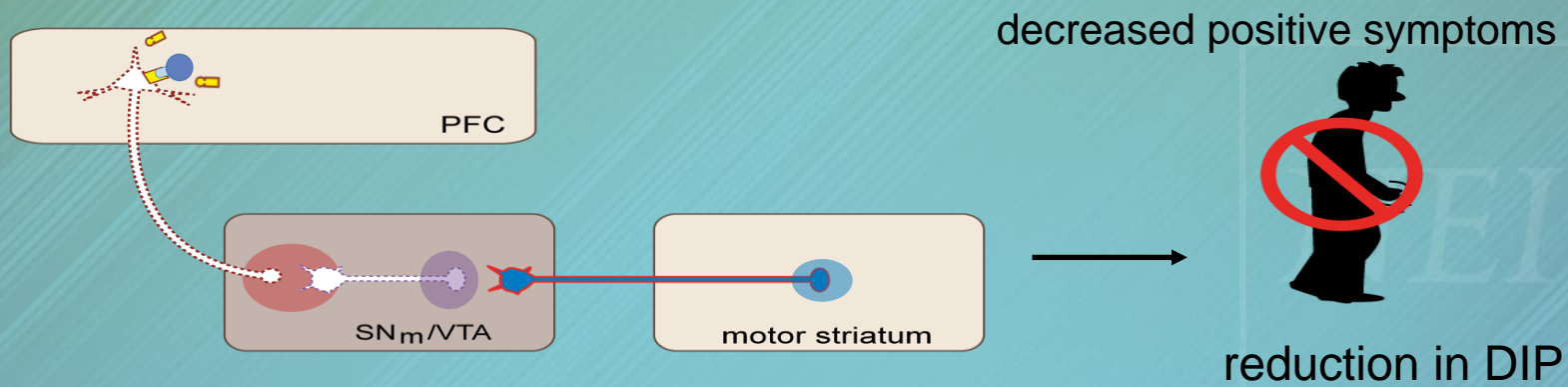
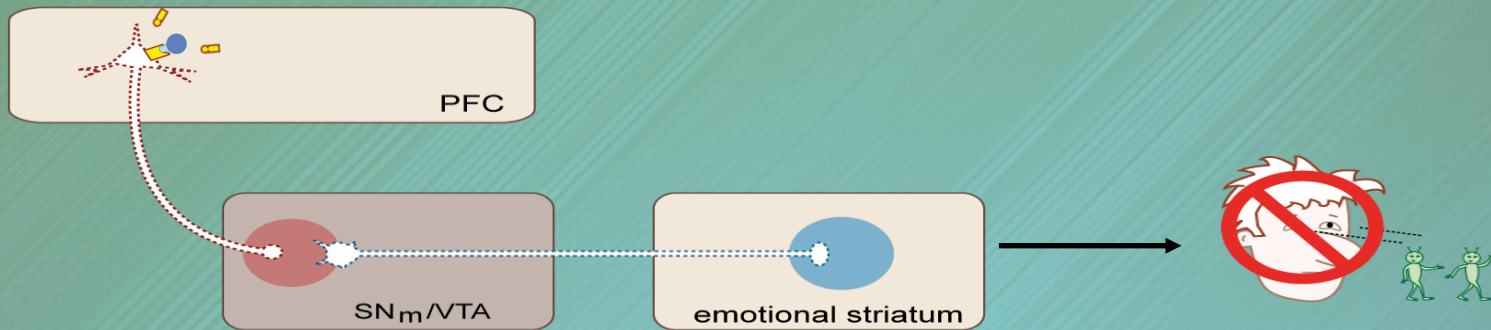
Case 1

- A 30-year-old male is treated to remission with haloperidol, a typical antipsychotic, for his psychosis but develops akathisia. He does not want to relapse and go back to the hospital so refuses to change medications.

What agents are typically used to treat this *and*, theoretically, which might be able to lower EPS by lending 5HT_{2A} blockade?



5HT2A
antagonist



Do 5HT2A Antagonist Antidepressants Help EPS?

- Yes, for mirtazapine and trazodone for akathisia
- Not sure for parkinsonism or dystonia

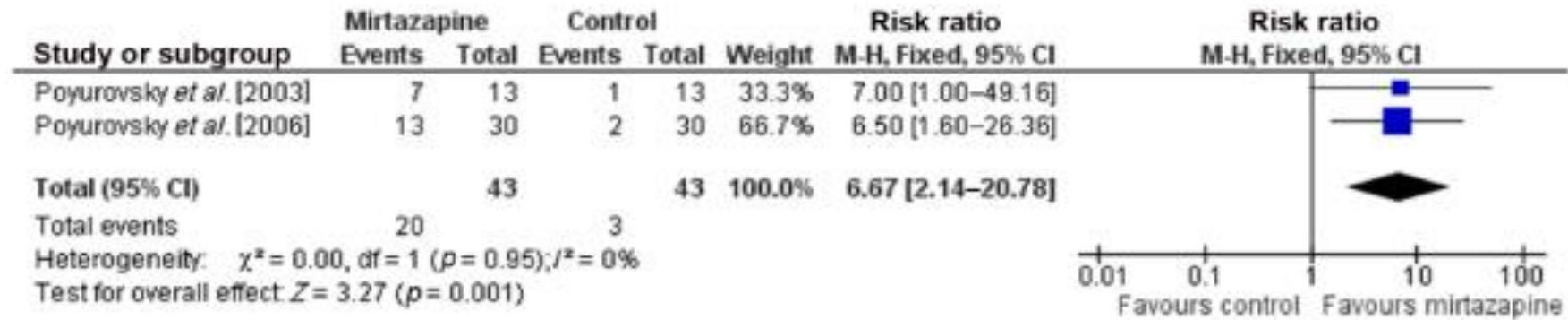


Figure 2. Forest plot showing response rate (at least two-point reduction in BAS Global Scale) in randomized controlled trials comparing mirtazapine with placebo for antipsychotic-induced acute akathisia ($N = 86$). CI, confidence interval; M-H, Mantel-Haenszel.

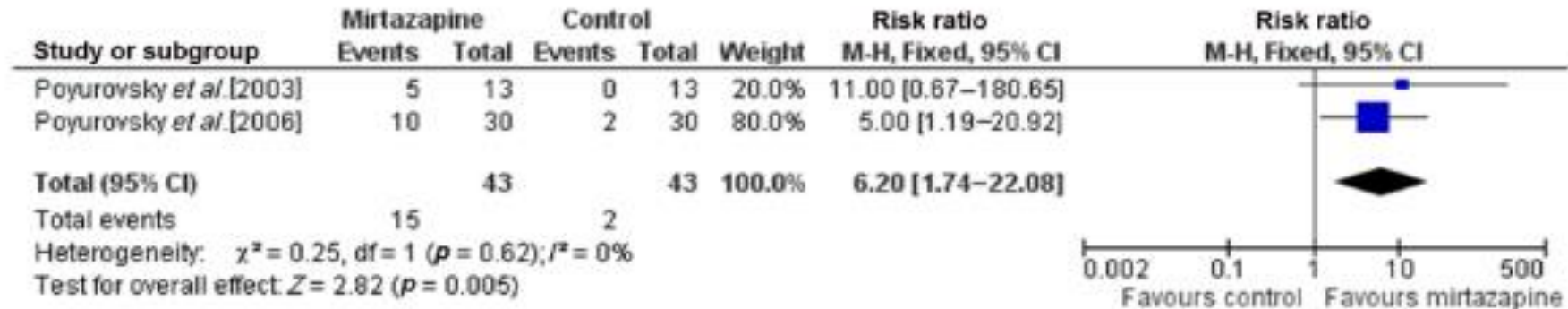
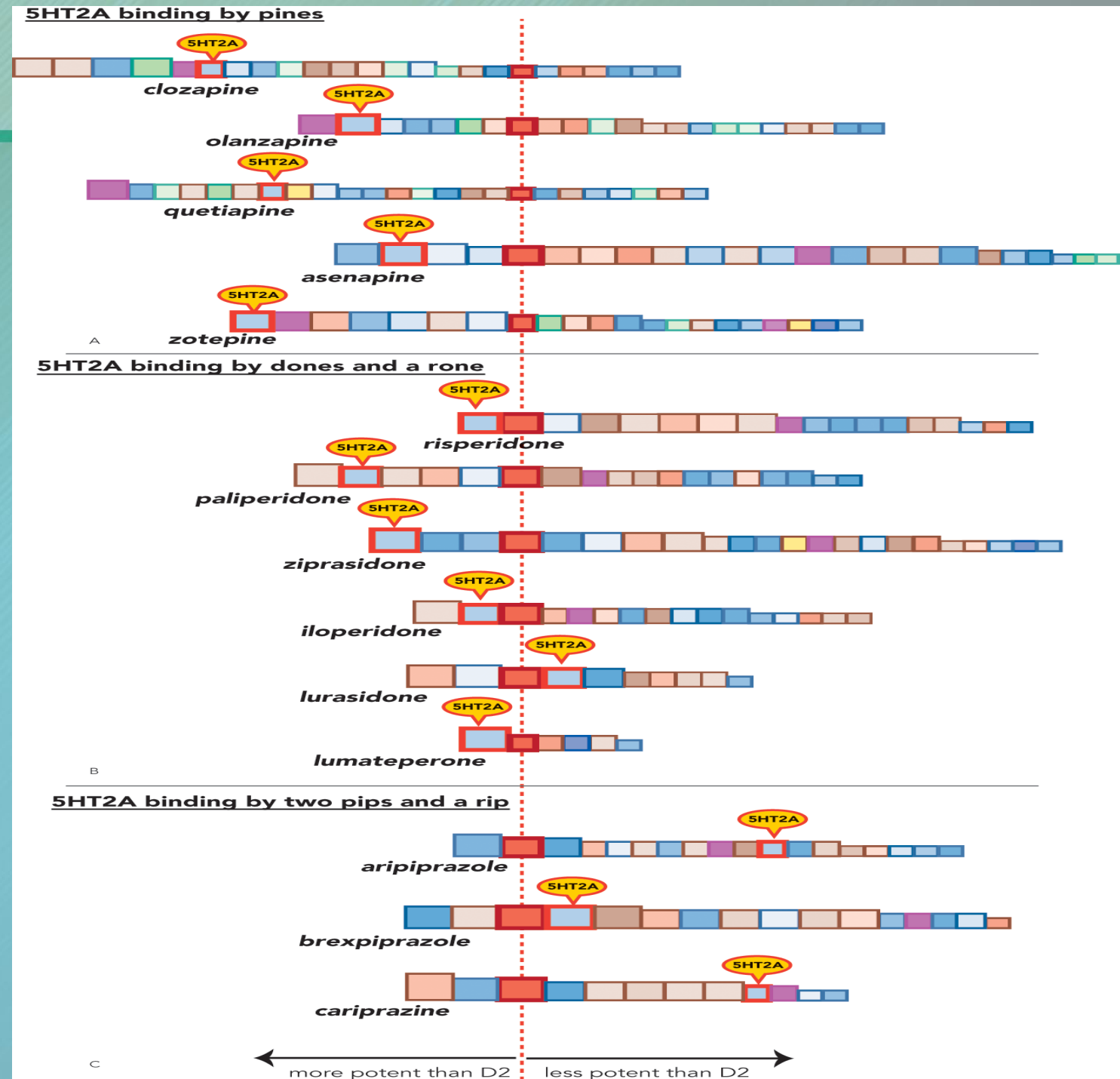


Figure 3. Forest plot showing complete remission (0 or 1 on BAS Global Scale) in randomized controlled trials comparing mirtazapine and placebo for antipsychotic-induced acute akathisia ($N = 86$). CI, confidence interval; M-H, Mantel-Haenszel.

What is 5HT2A-ness?

- 5HT2A antagonistic affinity relative to D2 affinity
- Theoretically, as 5HT2A affinity increases, then EPS risk should lessen



| | Receptors | | | | | | | | | | |
|---------------|------------------|-----------------------|----------------|-----------------------|------------------|------------|------------|----------------|-------------------|---------------------|--------------------|
| | D2 Antag | D2/3 Partial Ag | 5HT2A Antag | 5HT1A Partial Agonism | 5HT2C Antag | 5HT7 Antag | NRI | SRI | H1 Antag | A1 Antag | AChm Antag |
| SGA | | | | | | | | | | | |
| Risperidone | x | | x | | | | | | | | |
| Paliperidone | x | | x | | | x | | | | x | |
| Ziprasidone | x | | x | | | | x | x | | | |
| Iloperidone | X | | x | | | | | | | x | |
| Lurasidone | x | | x | | | x | | | | | |
| Clozapine | x | | x | x | x | x | | | x | x | |
| Olanzapine | x | | x | | x | | | | x | | x |
| Quetiapine | x | | x | x | x | x | x | | x | x | x |
| Asenapine | x | | x | | x | x | | | | | x |
| Aripiprazole | x | x | x | x | | x | | | | | |
| Brexpiprazole | x | x | x | x | | | | | | | |
| Cariprazine | x | x | x | x | | | | | | | |
| Lumateperone | x | x | x | x | | | | | | | |
| | | | | | | | | x | | | |
| | Lowers Psychosis | Lowers MDD | Lowers EPS | Lowers MDD | Lowers MDD | Lowers MDD | Lowers MDD | Lowers MDD | Lowers Agitation | Increases Sedation | Increases Antichol |
| | Lowers Mania | Lowers EPS | Lowers MDD | Lowers Anxiety | Increases Weight | | | Lowers Anxiety | Increases Weight | Increases Dizziness | |
| | | | Improves sleep | | | | | | Increase Sedation | Improves sleep | |
| | DONES +++ | PIPS, RIPS, RONES +++ | PINES +++ | PINES +++ | PINES+++ | | | | Improves Sleep | | |
| | | | | PIPS, RIPS, RONES +++ | | | | | PINES+++ | | PINES+++ |

Are Loxapine and Perphenazine Atypical?

Loxapine

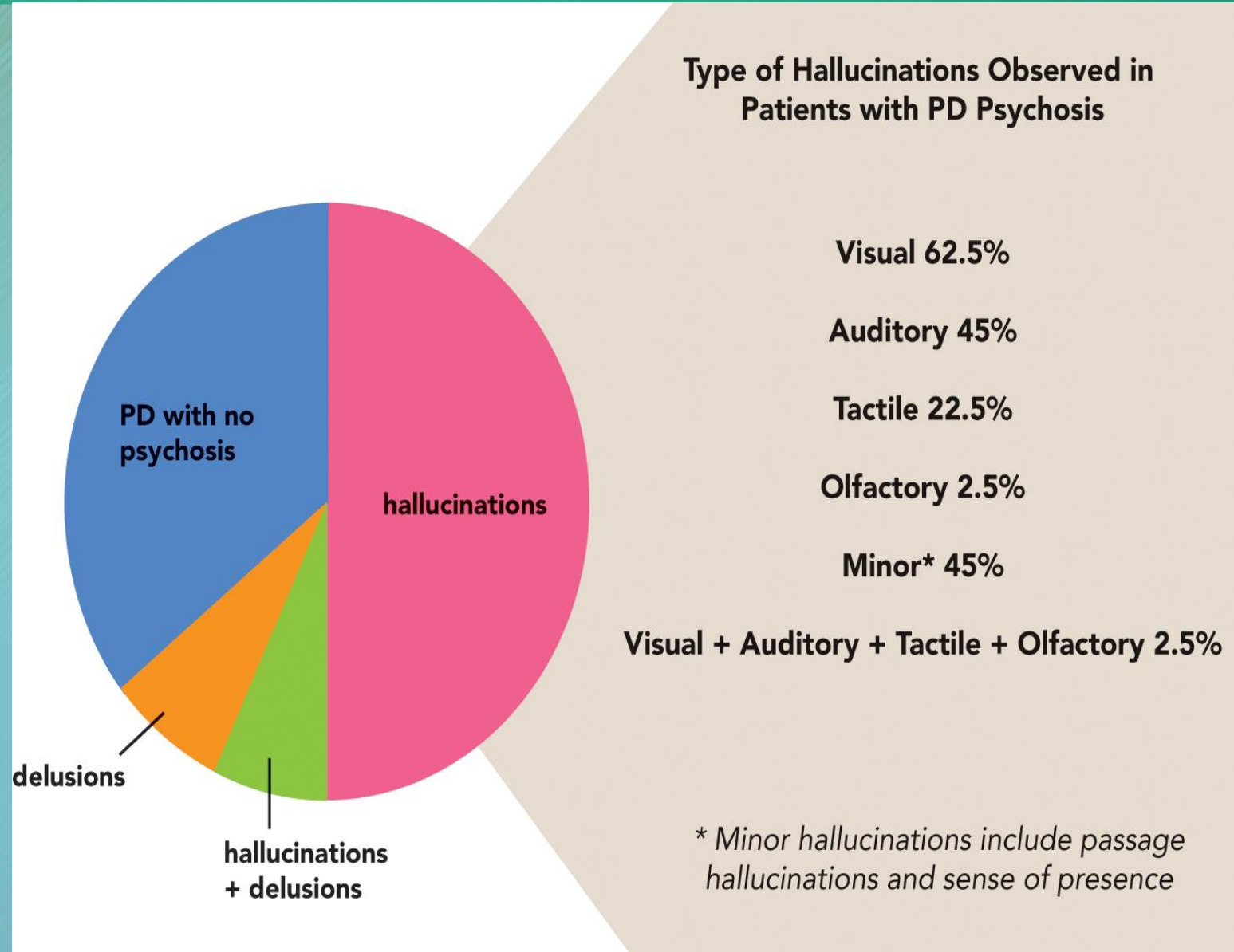
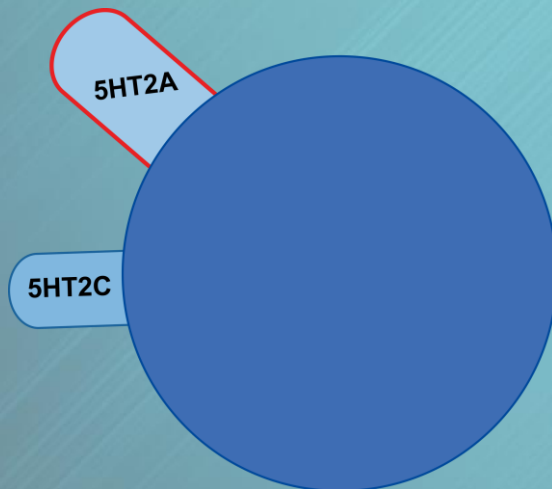
| Dopamine Receptors | Loxapine Affinity (Kb) |
|---------------------|------------------------|
| D ₁ | 29 nM |
| D ₂ | 2.4 nM |
| D ₃ | NS |
| D ₄ | 12 nM |
| D ₅ | 28 nM |
| | |
| Serotonin Receptors | Loxapine Affinity (Kb) |
| 5-HT _{1A} | NS |
| 5-HT _{2A} | 2.1 nM |
| 5-HT _{2C} | 22 nM |
| 5-HT ₄ | NS |
| 5-HT ₆ | NS |
| 5-HT ₇ | NS |

Perphenazine

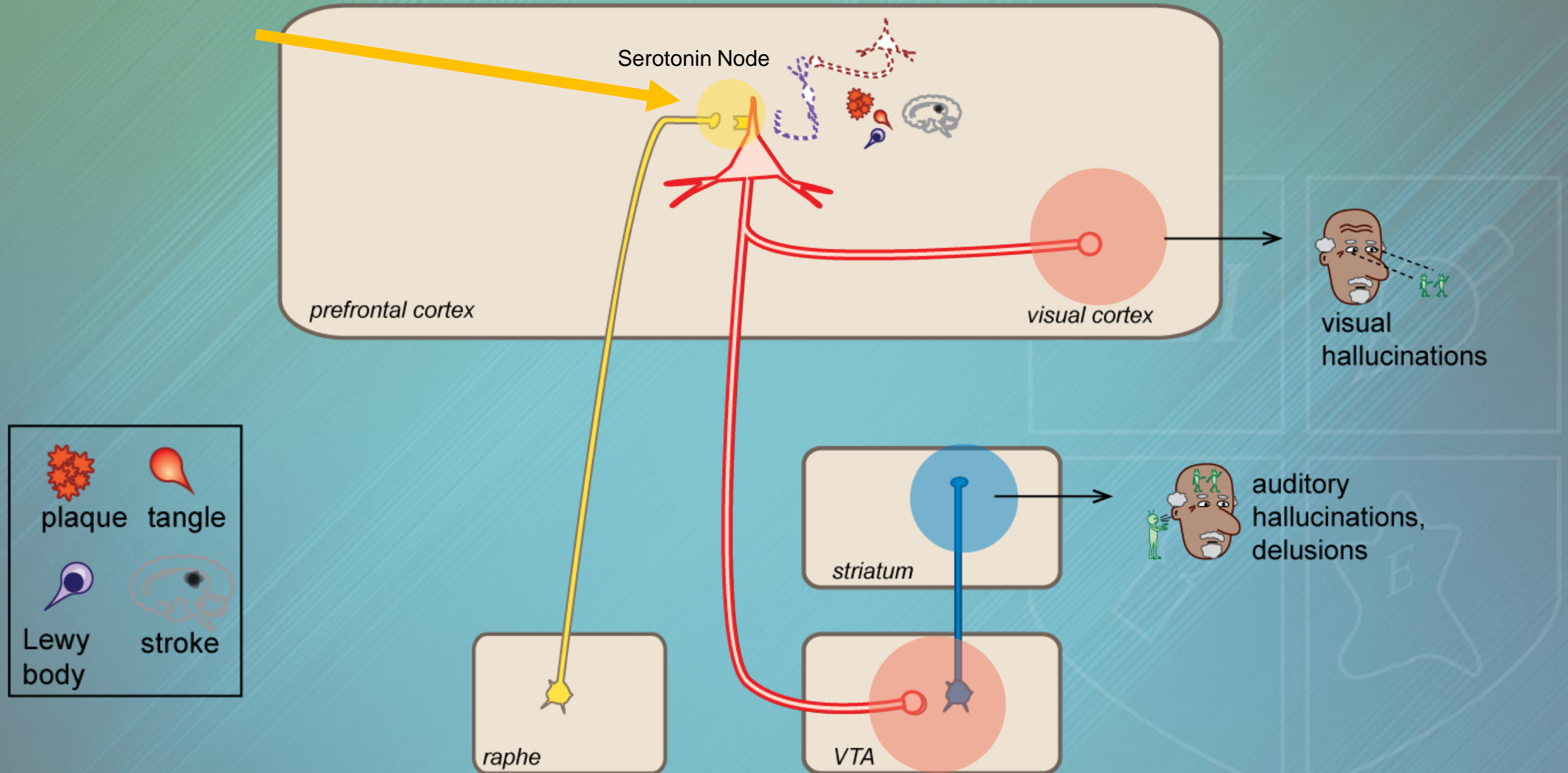
| Drug | D _{2Long} | 5-HT _{2A} | D _{4.4} | M ₁ |
|--------------|--------------------|--------------------|------------------|----------------|
| Perphenazine | 3.4 ± 0.9 | 5.8 ± 1 | 140 ± 14 | 2,000 ± 130 |
| DAPZ | 85 ± 3 | 54 ± 9 | 690 ± 54 | 130 ± 8 |
| OHPZ | 4.1 ± 0.3 | 38 ± 3 | 620 ± 11 | 3,400 ± 310 |
| Haloperidol | 6.4 ± 2 | 70 ± 13 | 4.8 ± 0.3 | 5,300 ± 940 |
| Clozapine | 470 ± 160 | 4.3 ± 0.2 | 64 ± 7 | 8.1 ± 1 |

Is Pimavanserin Atypical or an Atypical Atypical?

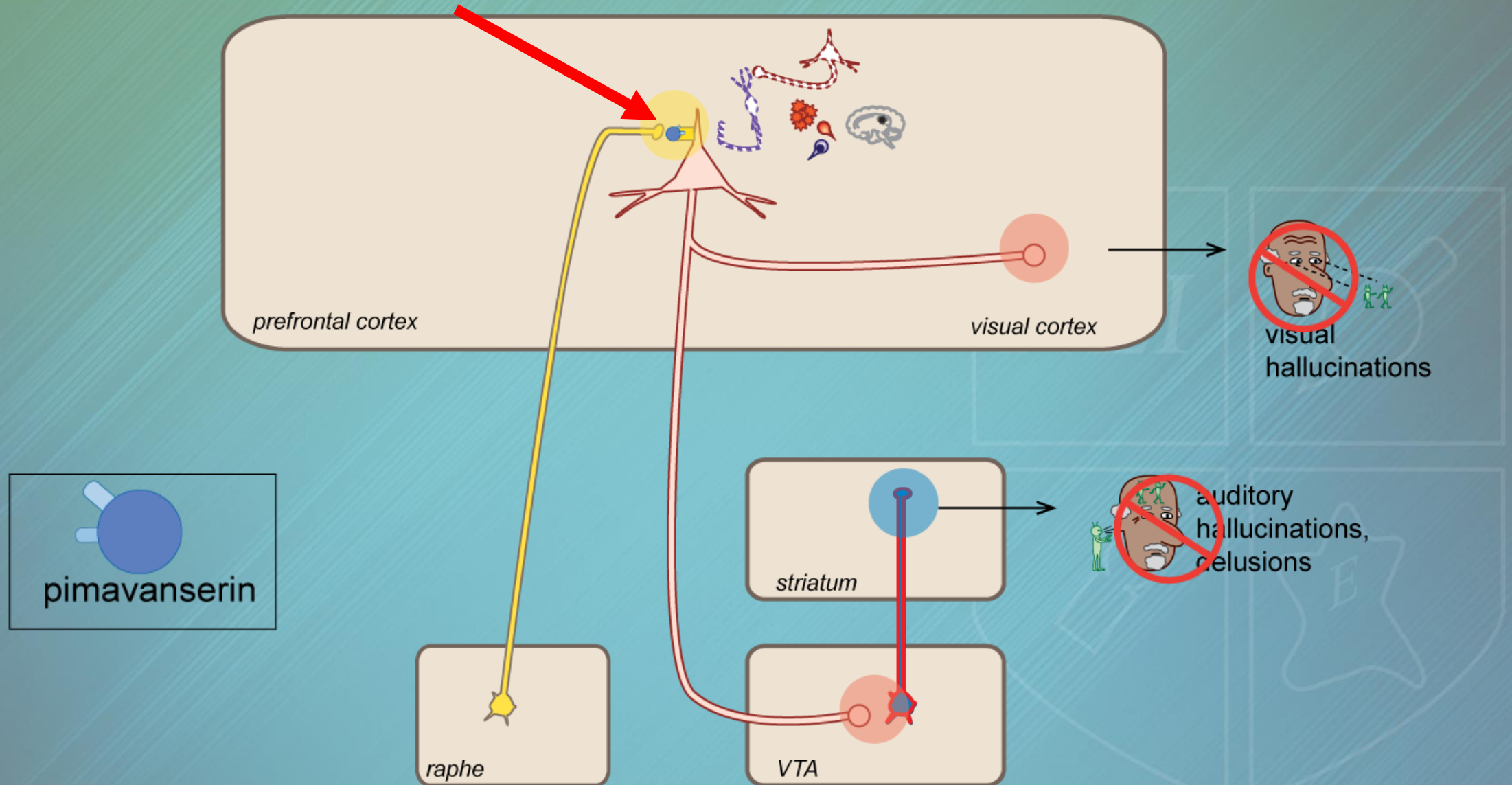
- Approved for psychosis associated with Parkinson's disease
- High affinity for 5HT2A antagonism
- None for D2
 - 34mg/d
- Side effects
 - Nausea
 - QTc increases up to 17msec
- 3A4 inhibitors increase levels



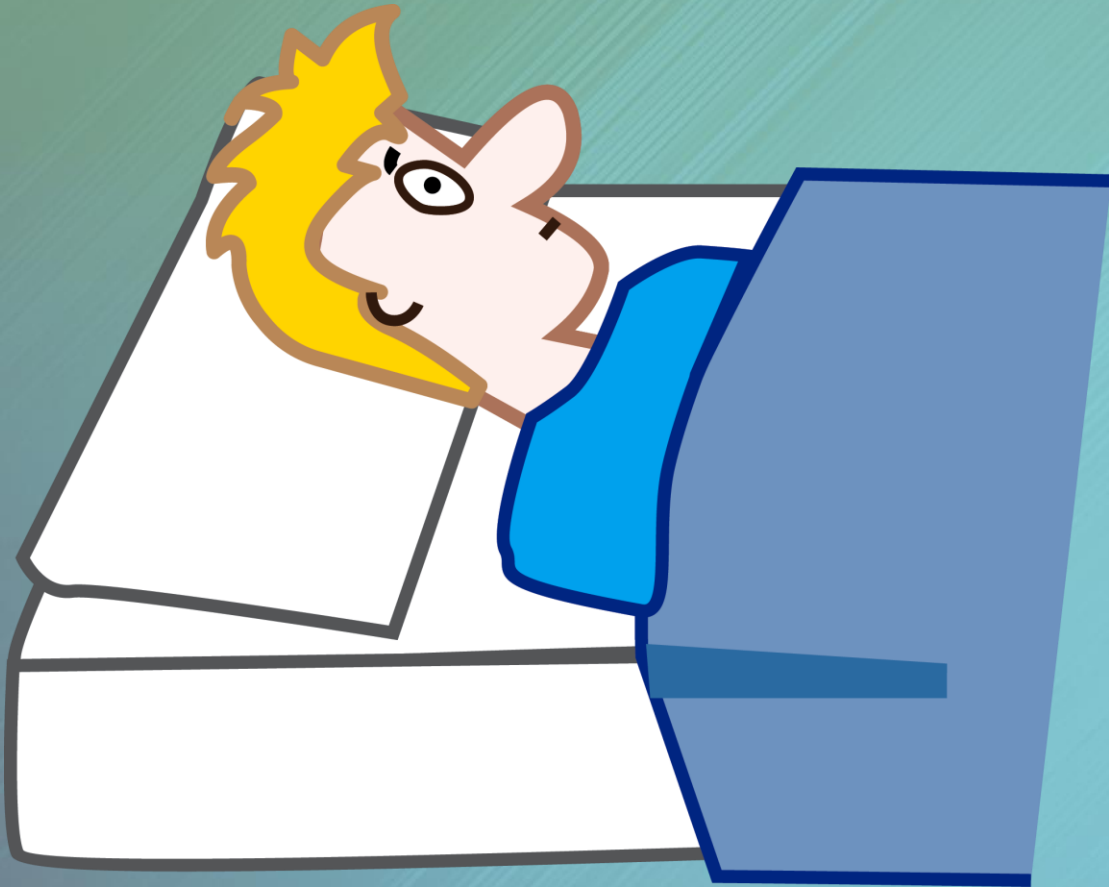
Dementia-Related Psychosis



Treatment of Dementia-Related Psychosis



5HT_{2A} for Insomnia and Mood



Case 2

- A 30-year-old female is treated to near depressive remission with fluoxetine, an SSRI, but her insomnia continues and has even worsened with this treatment. She does not want to change the SSRI due to fear of relapse.

What agents are typically used to treat insomnia *and*, theoretically, which might be able to improve sleep by lending 5HT_{2A} blockade?

5HT2A Antagonism

•Improves Sleep

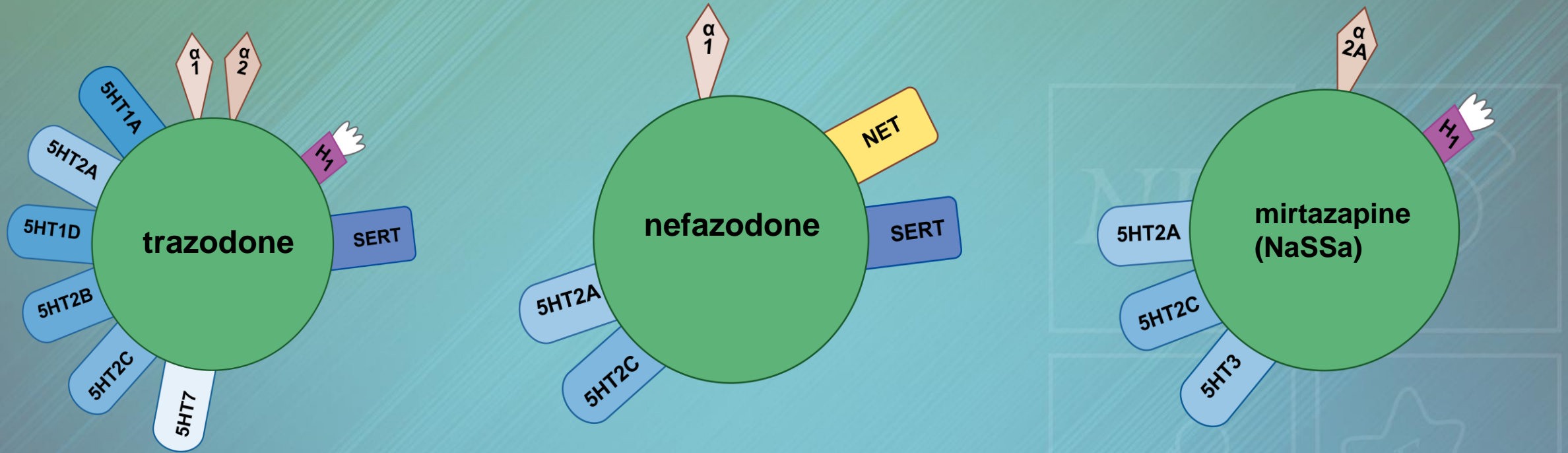
- 5HT2A agonists promote wakefulness
- Antagonists
 - Increases slow-wave sleep (SWS)
 - Lower REM
 - Increase maintenance + efficiency
- Applicable to MDD + GAD

•Improves Mood

- Increasing 5HT levels/activity associated with improved affect
- 5HT2A receptors populate the PFC and VMPFC
- Interact with GLU, GABA neurons/receptors
 - Agonism creates downstream *lowering* of DR, LC, VTA activity
 - Antagonism increases serotonin, norepinephrine, and dopamine activity



Which Antidepressants Block 5HT2A?



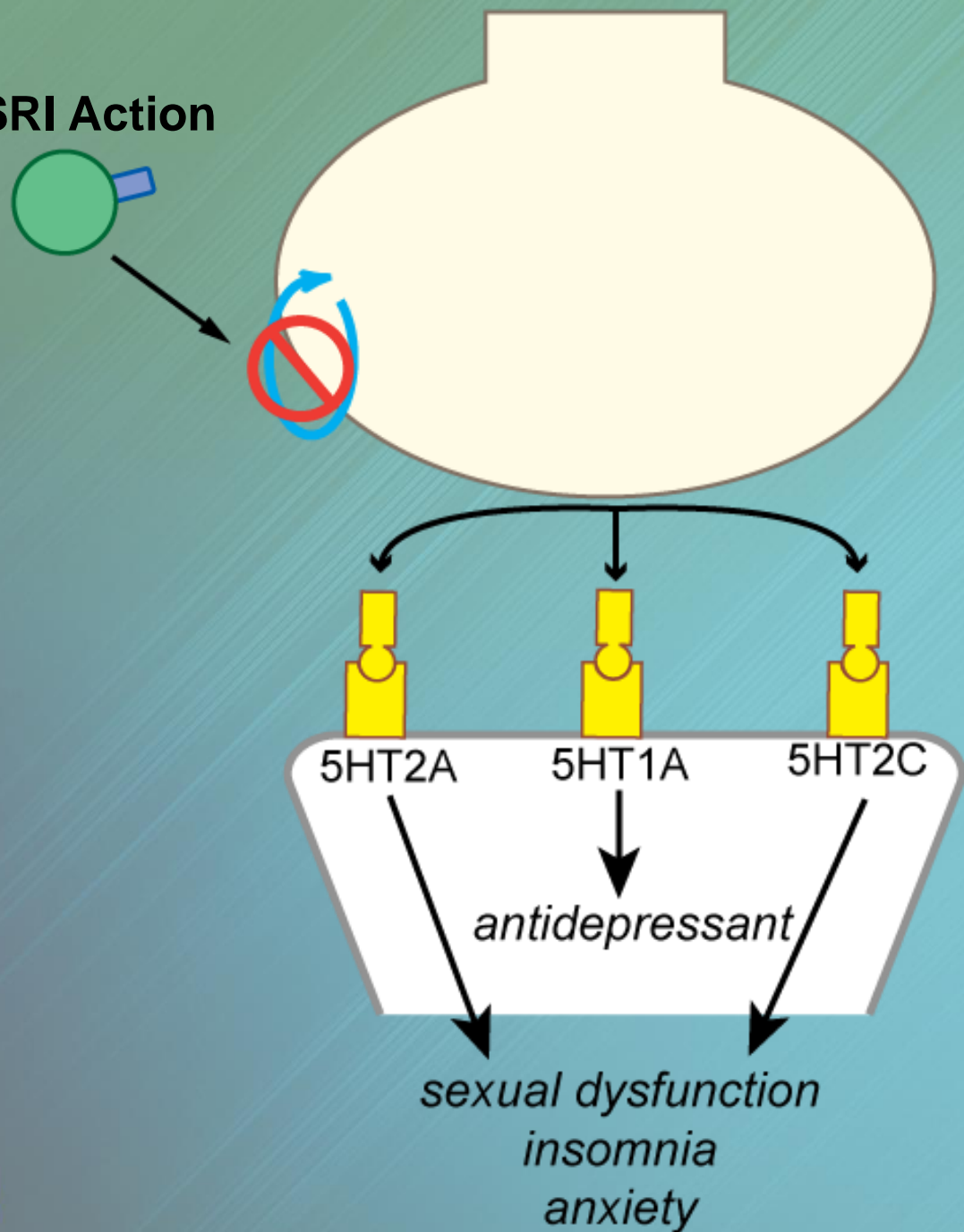
| | Receptors | | | | | | | | | | |
|---------------|------------------|-----------------------|----------------|-----------------------|------------------|------------|------------|----------------|-------------------|---------------------|--------------------|
| | D2 Antag | D2/3 Partial Ag | 5HT2A Antag | 5HT1A Partial Agonism | 5HT2C Antag | 5HT7 Antag | NRI | SRI | H1 Antag | A1 Antag | ACHm Antag |
| SGA | | | | | | | | | | | |
| Risperidone | x | | x | | | | | | | | |
| Paliperidone | x | | x | | | x | | | | x | |
| Ziprasidone | x | | x | | | | x | x | | | |
| Iloperidone | x | | x | | | | | | | x | |
| Lurasidone | x | | x | | | x | | | | | |
| Clozapine | x | | x | x | x | x | | | x | x | |
| Olanzapine | x | | x | | x | | | | x | | x |
| Quetiapine | x | | x | x | x | x | x | | x | x | x |
| Asenapine | x | | x | | x | x | | | | | x |
| Aripiprazole | x | x | x | x | | x | | | | | |
| Brexpiprazole | x | x | x | x | | | | | | | |
| Cariprazine | x | x | x | x | | | | | | | |
| Lumateperone | x | x | x | x | | | | | | | |
| | | | | | | | | x | | | |
| | Lowers Psychosis | Lowers MDD | Lowers EPS | Lowers MDD | Lowers MDD | Lowers MDD | Lowers MDD | Lowers MDD | Lowers Agitation | Increases Sedation | Increases Antichol |
| | Lowers Mania | Lowers EPS | Lowers MDD | Lowers Anxiety | Increases Weight | | | Lowers Anxiety | Increases Weight | Increases Dizziness | |
| | | | Improves sleep | | | | | | Increase Sedation | Improves sleep | |
| | DONES +++ | PIPS, RIPS, RONES +++ | PINES +++ | PINES +++ | PINES+++ | | | | Improves Sleep | | |
| | | | | PIPS, RIPS, RONES +++ | | | | | PINES+++ | | PINES+++ |



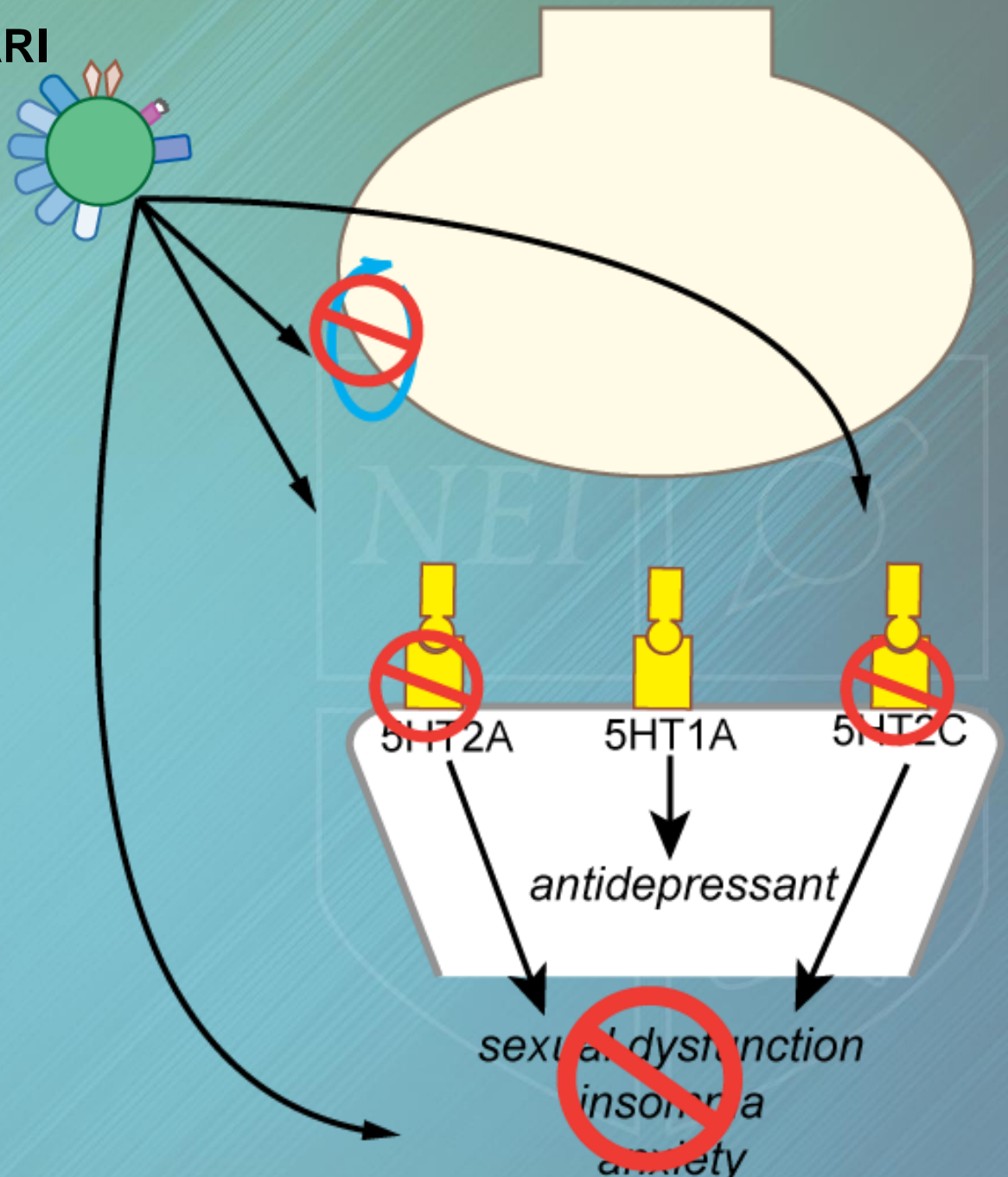
Is 5HT2A Antagonism Avoidance of Activation or a Direct Mood Treatment?



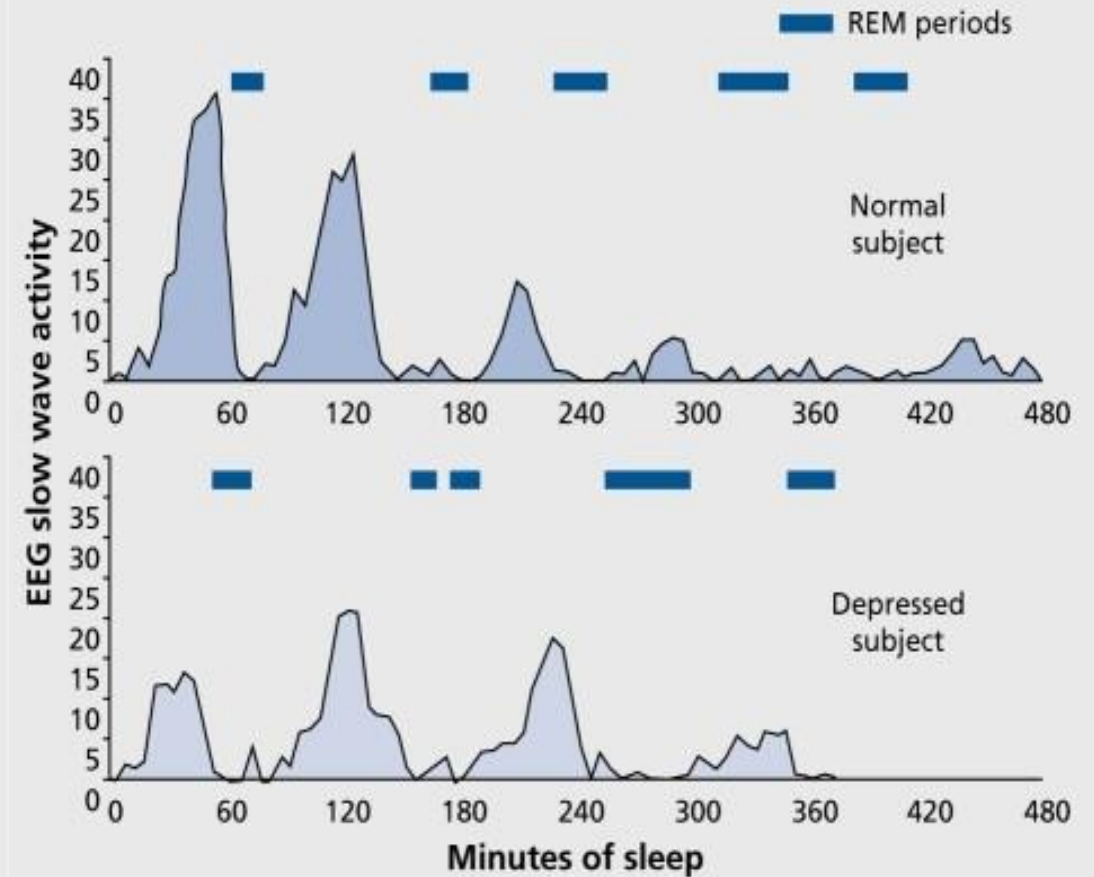
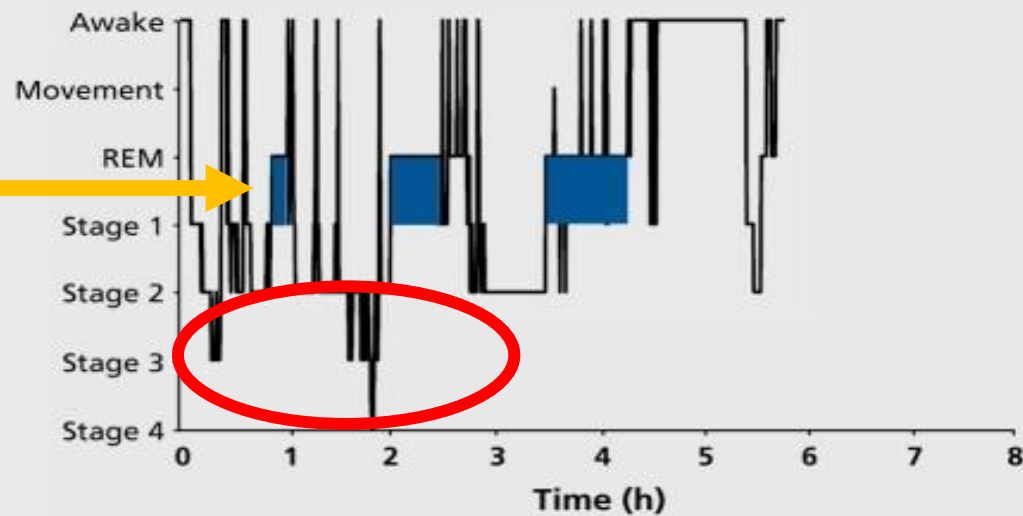
SSRI Action



SARI



Sleep Architecture in MDD



5HT2A Antagonism: Does It Work Across Psychotropic Domains?

- Do antipsychotics help sleep?
- Yes, for improved efficiency and less arousals
- May increase SWS

| Parameter | C (N=146; P=42.08%) | AD (N=105; P=30.26%) | ADAC (N=40; P=11.53%) | ADAP (N=28; P=8.07%) | ADACP (N=28; P=8.07%) | p |
|--------------------------------------|------------------------|-------------------------|--------------------------|-------------------------|--------------------------|--------|
| | Mean (SD) | Mean (SD) | Mean (SD) | Mean (SD) | Mean (SD) | |
| TIB (in minutes) | 418.05 (44.83) | 413.34 (49.00) | 418.53 (36.03) | 440.82 (59.04) | 415.68 (33.66) | 0.232 |
| TSP (in minutes) | 384.72 (58.99) | 374.23 (71.64) | 378.53 (69.95) | 405.29 (63.37) | 364.95 (94.29) | 0.223 |
| TST (in minutes) | 309.95 (81.90) | 327.34 (84.14) | 334.61 (79.35) | 370.71 (74.94) | 326.07 (101.79) | 0.002 |
| SE (in %) | 73.85 (17.44) | 78.65 (18.40) | 79.74 (16.94) | 84.31 (13.85) | 78.01 (22.75) | 0.001 |
| SOL (in minutes) | 28.03 (28.43) | 31.81 (31.93) | 36.54 (54.83) | 31.41 (36.88) | 36.45 (48.68) | 0.868 |
| Number of REM periods | 6.62(5.40) | 3.98 (3.50) | 4.15 (4.02) | 5.71 (5.72) | 3.18 (3.55) | <0.001 |
| REM onset latency (in minutes) | 151.94 (81.38) | 209.19 (88.81) | 189.84 (97.60) | 169.28 (90.47) | 218.21 (84.22) | <0.001 |
| WASO (in minutes) | 79.77 (65.23) | 54.15 (54.10) | 47.31 (39.73) | 38.61 (46.74) | 49.84 (56.73) | <0.001 |
| Total arousal index | 19.19 (16.33) | 20.21 (17.12) | 15.53 (11.79) | 15.08* (19.39) | 13.12 (12.44) | 0.002 |
| AHI | 17.31 (20.77) | 13.94 (18.44) | 9.98 (14.67) | 9.37 (17.50) | 9.37 (11.84) | 0.009 |
| PLMI | 19.44 (24.25) | 30.73* (39.32) | 22.07 (26.06) | 17.91 (15.25) | 17.59 (32.81) | 0.042 |
| Minimal SaO2 (in %) | 82.65 (11.70) | 84.58 (8.29) | 86.38 (5.17) | 86.21 (9.04) | 83.86 (9.55) | 0.168 |
| HR in NREM sleep | 67.41 (9.87) | 71.77 (11.29) | 74.61 (11.37) | 71.97 (15.25) | 80.26 (14.32) | <0.001 |
| Sleep stage distribution(in percent) | | | | | | |
| Stage 1 | 15.82 (12.98) | 13.93 (10.56) | 10.66 (6.53) | 8.32* (4.80) | 10.28 (7.23) | <0.001 |
| Stage 2 | 54.67 (12.53) | 60.19 (12.26) | 55.60 (16.74) | 60.45 (15.29) | 63.05 (14.35) | 0.001 |
| SWS | 16.12 (10.79) | 15.89 (10.99) | 21.66 (15.25) | 19.01 (16.39) | 18.85 (13.76) | 0.286 |
| REM | 13.40 (7.22) | 10.01 (7.54) | 12.08 (10.36) | 12.20 (8.05) | 8.27 (6.31) | <0.001 |

Summary

- 5HT2A receptors reside across a variety of neural circuits and may directly stimulate glutamate or dopamine activity or alter activity by agonizing inhibitory GABA interneurons—Uggh, it is complicated!
- Psychotropics that antagonize 5HT2A may increase or decrease downstream activity of other transmitters depending on specific 5HT2A receptor location and subsequent blockade
- All atypical antipsychotics and some antidepressants block 5HT2A
- We have reviewed the basic neurophysiology and anatomy in these areas that allows clinicians to better understand why psychotropics work as indicated for certain DSM-5 Disorders and why off-label applications can sometimes help for others



Posttest Question 1

Which classes of psychotropics antagonize 5HT_{2A} receptors?

1. Atypical antipsychotics
2. Sedating antidepressants (SARI & NASSA)
3. Tricyclic antidepressants
4. Buspirone anxiolytics
5. 1 and 2
6. All of the above

Posttest Question 2

5HT_{2A} receptor antagonism can serve the atypical antipsychotics by clinically improving...

1. EPS
2. Psychosis
3. Sleep
4. Depression
5. 1 and 2
6. All of the above

Posttest Question 3

A 30-year-old male is treated to remission with haloperidol, a typical antipsychotic, for his psychosis but develops akathisia. He does not want to relapse and go back to the hospital so refuses to change medications. Which agent below theoretically might be able to lower EPS by lending its 5HT_{2A} blockade, thus making the haloperidol seem more atypical in nature?

1. Vortioxetine
2. Imipramine
3. Mirtazapine
4. Paroxetine
5. All of the above
6. None of the above